

# CALIFORNIA AIR RESOURCES BOARD TRIBAL CONSULTATION POLICY

**DRAFT** 

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# MESSAGE FROM THE CALIFORNIA AIR RESOURCES BOARD

Community involvement is fundamental to the work we do at the California Air Resources Board (CARB). Two-way communication offers the most effective means for balancing environmental impacts and economic opportunities and is most successful when based on a foundation of knowledge, trust, solid relationships, basic courtesy, and adequate resources.

CARB recognizes that variations in the State's unique geography, climate, transportation, communications, and access to technology present both challenges and opportunities for better coordination and cooperation between state agencies and tribes. CARB is committed to working together, and in good faith, with tribes to make sound decisions that protect the air quality in California.

This consultation policy is a guide to improve communication and coordination with tribes during the work that CARB does. This collaborative approach details sensible processes, practical tools, and helpful background information to prepare CARB staff and tribal representatives for successful communication. It is a hands-on guide that is specific to CARB's work.

Sincerely,

Richard W. Corey Executive Officer

#### CALIFORNIA AIR RESOURCES BOARD CONSULTATION POLICY

#### I. STATEMENT OF PURPOSE

Governor Brown signed Executive Order B-10-11 on September 19, 2011, directing State agencies and departments, including CARB, to engage in effective government-to-government cooperation, collaboration, communication, and consultation with both federally recognized and non-federally recognized tribes when developing legislation, regulations, rules, and policies on matters that may affect tribal entities. This consultation policy is intended to assist CARB in carrying out the Governor's Executive Order, as well as the California Environmental Protection Agency's (CalEPA's) policy to ensure, improve, and maintain effective government-to-government relationships with tribes on environmental matters that may affect tribes.

#### II. INTRODUCTION

California is home to the largest Native American population in the United States. There are currently 109 federally recognized tribes and 56 non-federally recognized tribes within California. All California Native American tribes, whether officially recognized by the federal government or not, may have environmental, economic, and public health concerns different from the concerns of other tribes or the general public. These differences may exist due to unique lifestyles, cultural beliefs and values, traditions, historical events, and specific connections to areas of California that are tribal ancestral homelands. Tribes might have an ancestral tie to an area even though they no longer reside in that area because many tribes were removed from their homelands and others were nomadic.

Legally, the difference between federally and non-federally recognized tribes is that federally recognized tribes are listed in the Federal Register and have a government-to-government relationship with the United States government as a distinct legal-political entity – a sovereign entity. These tribes possess certain kinds of rights that differ from the rights of other members of the public. Some of these rights are based on treaties, acts of Congress, Executive Orders, and court rulings. While non-federally recognized tribes may have an established governing body, they do not have this unique legal relationship with the federal government. Regardless of federal recognition, each tribe has its own government or leadership, unique history, demographics, and economic development opportunities.

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<sup>&</sup>lt;sup>1</sup> This number is subject to change depending on recognition determinations made by the Bureau of Indian Affairs (BIA). Please consult the most current Federal Register for a list of federally recognized tribes and the Native American Heritage Commission for a list of non-federally recognized tribes in California.

Consistent with Executive Order B-10-11, CARB engages with both federally recognized and non-federally recognized tribes for purposes of consultation. While the distinction does not affect CARB's approach to consulting with tribes, the distinction between federally recognized and non-federally recognized tribes may have legal implications that may influence the matters discussed through the consultation process. CARB staff should consult with CARB's tribal liaison and Legal Office to determine if the distinction has legal implications for specific issues.

#### III. CARB MISSION AND OBJECTIVES

As one of CalEPA's Boards, Departments, and Offices (BDOs), CARB aims to ensure all Californians have the same level of environmental protection. CARB's mission is "to promote and protect public health, welfare and ecological resources through the effective and efficient reduction of air pollutants while recognizing and considering the effects on the economy of the state." CARB protects the public from exposure to toxic air contaminants; reduces California's emission of criteria pollutants, greenhouse gases, and short-lived climate pollutants; provides leadership in implementing and enforcing air pollution control rules and regulations; and provides innovative approaches for complying with air pollution rules and regulations.

CARB's mission and objectives are implemented through multiple divisions within CARB, based on the best possible scientific, environmental, and economic information. CARB decisions are made with respect for all of the communities and interests that will be affected by the decisions. Most of CARB's regulations are statewide and do not have ground-disturbing impacts that would affect tribes or their cultural resources. Still, CARB may have cause to consult with tribes on matters of interest to tribes or on CARB programs in which tribes voluntarily choose to participate.

#### **IV. DEFINITIONS**

For this policy, these terms will have the meanings defined below:

**Authorized Designee** is an **i**ndividual that Consultation Officials have designated as being authorized to represent the agency during consultation and who has authority to make decisions.

California Native American Tribe means either a federally-recognized California tribal government listed on the most recent notice of the Federal Register or a non-federally recognized California tribal government, including

<sup>2</sup> Cal. Air Resources Bd., CARB Mission and Goals, <a href="https://www.arb.ca.gov/html/mission.htm">https://www.arb.ca.gov/html/mission.htm</a> (last visited Apr. 11, 2017).

those listed on the California Tribal Consultation List maintained by the California Native American Heritage Commission (NAHC).

**Collaboration** means communicating and working together through mutual respect and cooperation toward a common purpose. This exchange is conducted by respecting the protocols each respective tribe has established for contacting its governing body or its delegated official. It can be conducted through the Governor's appointed Agency Secretary, BDO Chairperson, Executive Director, or their delegated representatives.

**Communication** refers to the dissemination, exchange, or sharing of information between CalEPA, its BDOs, and tribes.

**Consultation** is a meaningful and timely process of seeking, discussing, and considering carefully the views of others, in a manner that is cognizant of all parties' cultural values and, where feasible, seeking agreement. Consultation between government agencies and tribes shall be conducted in a way that is mutually respectful of each party's sovereignty. Consultation shall also recognize the tribes' potential needs for confidentiality with respect to places that have traditional tribal cultural significance. (Gov. Code, § 65352.4.)

**Consultation Official** is the individual who engages in consultation with the tribe on a government-to-government basis who has authority to make decisions, such as CARB's Chair or Executive Officer.

**Cultural Resource** are sites, features, places, cultural landscapes, sacred places, and objects with cultural value to a California Native American tribe that are either included or determined to be eligible for inclusion in the California Register of Historical Resources or included in a local register of historical resources. (Public Res. Code, § 21074.) They are also sites, features, places, cultural landscapes, sacred places and objects with cultural value to a California Native American tribe that CARB or another state agency, in their discretion and supported by substantial evidence, have determined to be significant.

**Federal Recognition** refers to acknowledgement by the federal government that a tribal government and tribal members constitute a tribe with a government-to-government relationship with the United States, and eligibility for the programs, services, and other relationships established for the United States for Indians, because of their status as Indians. Federally recognized tribes have the power to make and enforce laws on their lands and create governmental entities such as tribal courts. (25 U.S.C., § 83.2)

**Government-to-Government Relationship** is a relationship that exists between state, federal, local, and tribal governments. Implicit in the relationship is a bilateral recognition of the sovereignty of the respective parties.

Indian Country or Tribal Lands means (a) all land within the limits of any Indian reservation under the jurisdiction of the United States Government, notwithstanding the issuance of any patent, and including rights-of-way running through the reservation; (b) all dependent Indian communities within the borders of the United States whether within the original or subsequently acquired territory thereof, and whether within or without the limits of a state; and (c) all Indian allotments, the Indian titles to which have not been extinguished, including rights-of-way running through the same. (18 USC. § 1151)

**Native American** broadly describes the people considered indigenous to North America who lived here prior to European colonization. This term includes American Indians, Indians, Alaska Natives, Eskimos, Aleuts, and Native Hawaiians.

**Reservations** are lands reserved by a tribe during treaty negotiations with the federal government for tribal use, which are held in trust for the tribe by the federal government.

**Tribal Sovereignty** refers to the unique legal and political status of federally-recognized tribes. A federally-recognized tribe exercises certain jurisdiction and governmental powers over activities and tribal members within its territory. Some of these powers are inherent, some have been delegated by the United States, and all are subject to limitations by the United States. Existing limitations are defined through acts of Congress, treaties, and federal court decisions.

# V. CONSULTATION

# A. Meaning of Consultation

The term "consultation" has a range of meanings. It is defined in the Government Code as the "meaningful and timely process of seeking, discussing, and considering carefully the views of others, in a manner that is cognizant of all parties' cultural values and, where feasible, seeking agreement." Federal statutes and regulations contain similar definitions. 4 "Consultation" also has a plain meaning of a dialogue between two or more parties. 5

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<sup>&</sup>lt;sup>3</sup> Gov. Code § 65352.4.

<sup>&</sup>lt;sup>4</sup> 25 U.S.C. § 2011 ("a process involving the open discussion and joint deliberation of all options with respect to potential issues or changes between the Bureau and all interested parties."); 36 CFR 800.16(f) ("the process of seeking, discussing, and considering the views of other participants, and, where feasible, seeking agreement with them regarding matters arising in the 106 process.")

<sup>&</sup>lt;sup>5</sup> Blacks Law Dict., "Consultation," pg. 335, cl. 1 (8th ed. 2004) (The act of asking the advice or opinion of someone (such as a lawyer); [a] meeting in which parties consult or confer; [t]he interactive methods by which states seek to prevent or resolve disputes.")

Notably, consultation is distinct from public participation. Public participation legal requirements, including those in the California Administrative Procedure Act (APA), provide all citizens, governments, stakeholders, and organizations with notice of proposed agency action and an opportunity to comment on the proposed action before a decision is made. The APA public participation process is intended to inform and strengthen administrative agency decision-making, and CARB encourages tribes and their members to use CARB's public participation processes in addition to consultation.

While tribal citizens may comment on CARB's proposed actions as individuals, a tribal citizen's public comments on a particular matter does not satisfy the consultation requirement. Consultation differs from public participation in that it entails engagement that is different from merely providing notice of a proposed action and an opportunity for members of the public to comment on it. Consultation is based on the unique legal status of tribes; that unique status provides an opportunity to consult with tribes in a government-to-government capacity about proposed actions that may affect tribal lands, resources, members, and welfare.

# B. Determining if Consultation is Required or Appropriate

Consultation with tribes may be required by statute.<sup>6</sup> Consultation with tribes is also often required by Executive Order B-10-11. However, even in the absence of a legal consultation requirement, it still can be appropriate to consult with tribes out of respect for their status as sovereign governments or based on the unique tribal interests that may be affected by a proposed action, policy, or set of activities.

For example, most of CARB's regulations and policies do not have tribal implications as the regulations are statewide and not enforced on tribal lands absent a tribe's voluntary participation in one of CARB's programs. CARB does not issue permits and rarely engages in actions that direct or authorize ground disturbing activities.

Yet, even where not mandated by law, CARB should determine whether any of its actions, including but not limited to its development of regulations, policies, or plans, or other ongoing activities have tribal implications and whether consultation is therefore, appropriate. A tribal implication occurs when the action has a substantial direct effect on: (1) one or more tribes; (2) the relationship between CARB and tribes; or (3) the distribution of responsibilities between CARB and tribes. The term "substantial direct effect" refers to an effect or impact, either beneficial or adverse, that is directly caused by CARB action and that is

<sup>&</sup>lt;sup>6</sup> As an example, CEQA contains specific consultation tribal requirements. (Pub. Resources Code § 21080.3.1)

significant in size or amount when compared to the effect or impact on non-tribal stakeholders.

The following is a list of actions that *may* have a direct tribal implication, which could make consultation appropriate:

- Development and implementation of regulations, rules, policies, or guidelines that directly impact tribes traditionally and culturally affiliated with a geographic area.
- Development and implementation of programs that directly impact tribes.
- Development and implementation of local, regional or statewide plans that directly impact tribes.
- Environmental review of regulations that directly impact tribes traditionally and culturally affiliated with a geographic area.

CARB staff should contact CARB's tribal liaison to help make this determination.

# C. When to Engage in Consultation

Consultation may arise because CARB has notified a tribe of proposed agency action that could result in tribal implications or because the tribe has requested consultation. Regardless of how consultation arises, it should occur early enough in the decision-making process to allow tribes to provide meaningful input on the proposed action and to give CARB the opportunity to consider the tribe's input. Each consultation will be unique so CARB employees should work in coordination with CARB's tribal liaison to determine the best time to begin consultation.

#### D. Scope of Consultation

Each tribe has its own view of what it means to be a sovereign, including how the tribe prefers to communicate. A continuum of different interactions could qualify as a consultation depending on the individual tribe's interpretation of its sovereignty and other factors. CARB employees should use discretion when talking to tribes about consultation as the speaker and the listener may have different expectations about what is meant and how consultation should be conducted. It is important to let the tribe provide guidance on how the tribe defines consultation.

Some tribes only recognize consultation as a formal meeting that takes place between high ranking government officials and tribal leaders. This process is conducted between the agency and tribe on a government-to-government basis. After this relationship has been established, agreements may be reached that

recognize and sanction communications between the designated representatives of the high-ranking officials and tribal leaders.

Other tribes may recognize meetings and communications between lower-ranking state-tribal representatives as consultation. This process can be conducted through written communications, telephone contact, workshops, webinars, face-to-face meetings, or listening sessions. This proves as an important conduit for sharing information and developing relationships and mutual trust. Receipt of written or oral comments, views, and concerns in the planning phase is a key objective.

The scope of consultation may be shaped by different factors, including but not limited to:

- 1. Tribal political structure.
- 2. Tribal preference.
- 3. Subject matter.
- 4. Underlying statutory, regulatory, or policy requirements.
- Nature and complexity of the issues.
- Number of tribes affected.
- 7. Scale of tribal implication.
- 8. Agency time and resource constraints.
- 9. Degree to which the agency-tribal relationship has developed.
- 10. Whether a protocol has been established.

The type and extent of engagement should reflect the scope and impact of the proposed agency action. A large-scale action with substantial direct effect on several tribes might require a more coordinated approach with ongoing engagement and a series of meetings with high ranking officials. A less formal process such as webinars, phone calls, or face-to-face interactions with staff may be sufficient for more routine operational matters or matters that do not have substantial direct effect on tribes.

#### E. Whom to Consult

CARB engages in consultation with federally-recognized tribes and non-federally-recognized tribes on the NAHC list. CARB will work with the Bureau of Indian Affairs (BIA) for federally recognized tribes and the NAHC for non-federally

recognized tribes to identify the tribes within certain geographic locations if a project will include ground-disturbing activities. CARB will also work with these agencies and the tribes themselves to identify the appropriate tribal officials with whom CARB should consult. Determining who should represent a tribe is a matter that rests primarily with the tribal leaders, but representation could include a combination of tribal leaders, tribal environmental directors, and subject matter experts.

#### F. Conclusion of Consultation

Consultation is considered concluded when:

- 1. The parties to the consultation come to a mutual resolution; or
- 2. Either CARB or the tribe, acting in good faith and after reasonable effort, concludes that a resolution cannot be reached.

If the parties to a consultation reach a mutual resolution, the consultation officials or their authorized designees will confirm the mutual resolution in writing and proceed to implement the measures agreed upon. If the parties declare an impasse, written documentation of all efforts and alternatives will be forwarded to the tribal liaison and applicable CARB Division Chief for review. The Division Chief may recommend mitigation, alternatives, or proceeding with the project as planned and will forward recommendations, with an underlying rationale, to the Executive Officer for a final decision regarding the project. CARB will notify the tribe in writing to provide notice of its final decision(s).

When the consultation involves highly sensitive and complex issues, the consultation process may be extended to allow sufficient opportunity to reach a mutual agreement.

#### G. AB 52 Consultation

On September 25, 2014, the legislature enacted Assembly Bill 52 (AB 52), which amended the California Environmental Quality Act (CEQA). Under AB 52, CEQA analyses must now consider the potential for impacts to tribal cultural resources, and under certain circumstances lead agencies must consult with tribes that are traditionally and culturally affiliated with the geographic area of the proposed project.

AB 52 consultations differ from other general consultations described in this policy because: AB 52 consultations relate only to the CEQA environmental analysis conducted for a project; apply only to agencies that are lead agencies for a project; specific timelines apply both to the agency and the tribe; and there are specific requirements the lead agency must follow.

CARB will work with tribes to implement the AB 52 consultation procedures, including taking the following specific steps:

- Within 14 days of determining to undertake a project subject to CEQA, CARB will provide formal notification, in writing, with a description of the project and its location as follows:
  - a. Where CARB is the lead agency approving a project that involves ground disturbing activities, prior to the release of a negative declaration, mitigated negative declaration, or environmental impact report, CARB will identify tribes traditionally and culturally affiliated with a geographic area by contacting NAHC and provide notice of the proposed activity to these tribes.
  - b. For quasi-legislative CARB actions that affect the state as a whole and do not directly involve ground disturbing activities in a particular geographical area (e.g. proposed rulemakings or plans), CARB will notify only those tribes that have requested notification under AB 52.
- 2. When a tribe receives a notification from CARB and wishes to engage in consultation, the tribe must submit a consultation request letter from the tribal government signed by the tribal chief or designated tribal representative within 30 days after receiving the CARB notification.
- 3. Within 30 days of receipt of a tribal request for consultation, CARB will begin the consultation process by sending a consultation initiation letter confirming the receipt of the request and requesting the tribe to designate the contact person and items to be discussed during the consultation.
- 4. Tribes should respond to CARB's consultation initiation letter within 14 days to designate the contact person and items to discuss during the consultation. If CARB does not receive this information within 14 days, CARB will send a follow-up letter to the tribe informing them that CARB will consider the matter closed unless the tribe contacts CARB within 10 days of receipt of the follow-up letter.
- 5. After the tribe has designated the contact person and items to discuss, CARB will schedule the consultation meeting as expeditiously as possible. Scheduling will depend on the level of management that must attend, what issues must be discussed, how many meetings are involved, and the legal requirements surrounding the consultation.
- 6. The consultation process concludes when an agreement is reached regarding measures to mitigate or avoid a significant effect to tribal cultural resources, if such an effect exists; or when either party, acting in good

faith and after reasonable effort concludes that mutual agreement cannot be reached.

The deadlines indicated above are statutory deadlines that may change if the legislature amends CEQA. This policy is intended to assist both tribes and CARB staff, but is not a substitute for reading the law. Determinations on the requirements and statutory deadlines must be made by reviewing the statute and the guidelines implemented thereunder. CARB staff should consult CARB's tribal liaison and Legal Office to determine whether AB 52 applies, the appropriate deadlines, and how to fulfill the requirements of AB 52.

#### VI. GUIDING PRINCIPLES

CARB will be guided by these principles and best practices to improve and maintain effective government-to-government relationships and consultation with tribes to the extent legal and practicable:

- 1. Acknowledge, recognize, reaffirm, and respect tribal sovereignty.
- 2. Commit to building, strengthening, and sustaining effective government-to-government relationships between the State and the tribes.
- 3. Understand that federally-recognized tribes have a unique trust relationship with the federal government.
- 4. Recognize that all tribes possess distinct cultural, spiritual, environmental, economic, and public health interests; traditional cultural knowledge about California resources; and specific beliefs, traditions, and unique connections to areas of California that are their ancestral homelands.
- Understand the tribe's political structure, including titles for addressing tribal leaders and know that tribes are culturally and administratively different from one another.
- Encourage communication and consult with tribes during the initial phase of decision-making processes that may affect tribal lands or cultural resources.
- 7. Establish a mechanism to obtain relevant and available information, studies, and data from tribes when conducting research or environmental studies that relate to or could affect tribal lands or cultural resources.
- 8. Permit tribes to provide meaningful input into developing legislation, regulations, rules, and policies on matters that may affect tribal lands or cultural resources.

- 9. Consider the potential impact of the agency's activities or programs on tribal lands and cultural resources.
- 10. Identify areas of mutual concern and work to develop partnerships and consensus.
- 11. Communicate with mutual respect, be open to, and consider new information to understand how CARB actions may affect tribes and possible alternatives.
- 12. Be mindful of tribal preferences and sensitive to cultural diversity.
- 13. Consider geographic distances and other unique circumstances such as critical subsistence, cultural or community-wide activities when scheduling opportunities for tribal involvement in CARB decisions.
- 14. Recognize and respect the cultural resources of tribes, whether or not the cultural resources are on tribal lands.
- 15. Acknowledge and uphold the need for confidentiality regarding places, land, people, and cultural resources with traditional tribal cultural significance.
- 16. Ensure appropriate level officials are present at meetings with tribal governmental officials.
- 17. Contact NAHC to identify the appropriate tribal representative.
- 18. Notification letters and other written communications should be clear, concise, and written in plain language with definitions for any acronyms that are used.
- 19. Ask the tribe what method of communication is preferred, including email, fax, or mail, considering challenges with specific communication methods, including the necessity at times to communicate time sensitive information.

#### VII. ACTION PLAN

CARB will work with tribes to implement these guiding principles, to the extent legal and practicable, as follows:

- Maintain and solicit input from the CalEPA Tribal Advisory Committee (TAC)<sup>7</sup> regarding environmental issues and projects involving tribes. TAC will meet with the Secretary of CalEPA and the heads of each BDO, or their designees, at least once each calendar year.
- 2. Designate a tribal liaison within CARB as a central point of contact for tribes.
- 3. Develop and implement CalEPA's separate Tribal Consultation Protocol.
- 4. Promote efforts of tribes to develop and expand environmental programs through training, outreach, and technical assistance.
- 5. Provide training to appropriate executive staff, managers, supervisors, and employees to improve CARB's ability to carry out meaningful consultation and communication with tribes.
- 6. Work with NAHC to provide notice to tribes that may be affected by projects that disturb specific geographic areas.
- 7. Work with the Governor's Tribal Advisor to facilitate communication and implementation of effective government-to-government consultations between the tribes, the Office of the Governor, state agencies, and agency tribal liaisons.
- 8. Request relevant and available information, studies and data from tribes when conducting research or environmental studies that relate to, or could affect, tribal lands or cultural resources.
- 9. Assess eligibility of tribes for financial assistance programs such as grants, loans, and other financial opportunities.
- 10. Provide an annual report on implementing this Action Plan to CalEPA's Assistant Secretary for Tribal Affairs.

Tribal engagement and consultations will vary based on the unique action that the agency is undertaking and the tribal concerns or preferences. CARB staff should coordinate with its tribal liaison before any outreach, engagement, or consultation takes place to determine what action items should be completed, by whom, and to establish a timeline for completion of the action items.

designees, and the BDO Tribal Liaisons quarterly each calendar year.

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<sup>&</sup>lt;sup>7</sup> The TAC was established in 2010 to discuss environmental issues and projects involving California Native American Tribes. It consists of representatives from federally-recognized and non-federally recognized California Native American Tribes who meet with the Secretary of CalEPA and/or designee, the heads of each BDO, or their

#### VIII. DISCLAIMER

This policy is intended solely for the guidance of CARB employees regarding tribal consultation and does not extend to other governmental entities. It does not alter or modify the terms of any law and does not constitute legal advice. This policy is not intended, and should not be construed, to define the legal relationship between CARB and tribes. This policy is not a regulation, and it does not create, expand, limit, waive, or interpret any legal rights or obligations. It does not affect or diminish any rights or protections afforded to any person or entity under any law. It waives no tribal governmental rights, including treaty rights, sovereign immunities, or jurisdiction. Nothing in this policy will be construed to prevent CARB from taking timely action to fulfill legal obligations to protect the public health and safety, or the environment; or to carry out federally-mandated duties under delegated federal programs.

CARB reserves the right to revise this policy at any point in the future and such changes will be retroactively applicable to matters initiated prior to any revision of this policy. When CARB revises the consultation policy, the "last updated" date at the top of the consultation policy will reflect the date of the last change.

#### **APPENDIX A: LEGAL REFERENCES**

# Federal Statutes, Regulations, and Executive Orders

<u>Title 1 – United States Code sections 450 et seq.</u>: The Indian Self-Determination Act (ISDA) directs the Interior and Health and Human Services to enter into self-determination contracts with Indian tribes for "planning, conducting or administering programs and services" that are funded by the federal government. The implementing regulation, 25 CFR Part 900 establishes consultation requirements for development of programs, relating to the budgeting process and spending, and with regard to contracts.

<u>Title 4 United States Code sections 458aa to 458hh</u>: The Tribal Self-Governance Act of 1994 expands the ISDA with regard to programming and services. The implementing regulation, 25 CFR Part 1000 establishes consultation requirements for program eligibility determinations and annual funding agreements.

<u>Title 16 United States Code section 470, et seq.</u>: The National Historic Preservation Act (NHPA) creates a framework for preservation of cultural resources and requires consultation when carrying out preservation and compliance responsibilities.

<u>Title 16 United States Code sections 470aa to 470mm</u>: The Archaeological Resources Protection Act (ARPA) protects archeological resources located on public and Indian lands. The implementing regulation, 43 CFR 7.7(a) discusses notification and meeting requirements.

<u>Title 18 United States Code section 1151</u>: The United States Criminal Code defines "Indian Country" as "(a) all land within the limits of any Indian reservation under the jurisdiction of the United States Government, notwithstanding the issuance of any patent, and, including rights-of-way running through the reservation, (b) all dependent Indian communities within the borders of the United States whether within the original or subsequently acquired territory thereof, and whether within or without the limits of a state, and (c) all Indian allotments, the Indian titles to which have not been extinguished, including rights-of-way running through the same."

<u>Title 25 United States Code section 3001</u>: The Native American Graves Protection and Repatriation Act (NAGPRA) requires consultation regarding the treatment and disposition of specific cultural items (human remains, funerary objects, sacred objects, and cultural patrimony, etc.) prior to intentional excavation or removal of Native American human remains, during the inventory of human remains, and to determine place and manner of delivery.

<u>Title 42 United States Code section 1996</u>: The American Indian Religious Freedom Act (AIRFA) is a Congressional policy statement that recognizes the right to practice traditional religions, access to sacred sites located on public lands, and use and possess sacred objects. While it does not confer religious rights to Indians, procedural requirements have been upheld by specific courts.

<u>Executive Order 13175</u>: establishes meaningful consultation with tribes on development of federal policies, strengthens the government-to-government relationship, and reduces imposition of unfunded mandates on tribes.

# State Statutes, Regulations, and Executive Orders

Government Code section 11019.8: "All state agencies, as defined in Government Code section 11000, are encouraged and authorized to cooperate with federally recognized California Indian [t]ribes on matters of economic development and improvement for the [t]ribes." This may include providing information on programs available, providing technical assistance on preparation of grants and applications for public or private funds, conducting meetings and workshops, or any other reasonable steps that could assist tribes in becoming economically self-sufficient.

Government Code section 65352.4: "Consultation" means the meaningful and timely process of seeking, discussing, and considering carefully the views of others, in a manner that is cognizant of all parties' cultural values and, where feasible, seeking agreement. Consultation between government agencies and Native American tribes shall be conducted in a way that is mutually respectful of each party's sovereignty. Consultation shall also recognize the tribes' potential needs for confidentiality with respect to places that have traditional Tribal cultural significance.

Health & Safety Code section 8012, et seq.: The California Native American Graves Protection and Repatriation Act requires any agency or museum that has possession or control over California Native American human remains and associated funerary objects to inventory, attempt to identify the geographic location, and consult with the tribe believed to be affiliated with the items.

<u>Public Resources Code section 5024.1</u>: Establishes a California Register of Historical Resources as an authoritative guide in California to be used by state and local agencies, private groups, and citizens to identify the state's historical resources and to indicate what properties are to be protected, to the extent prudent and feasible, from substantial adverse change.

<u>Public Resources Code section 5097.9 et seq.</u>: Prohibits a public agency or private party from using or occupying public property, or operating on public property, under a public license, permit, grant, lease, or contract made on or after July 1, 1977, in any manner whatsoever that would interfere with the free

expression or exercise of Native American religion as provided in the United States Constitution and the California Constitution; or cause severe or irreparable damage to any Native American sanctified cemetery, place of worship, religious or ceremonial site, or sacred shrine located on public property, except on a clear and convincing showing that the public interest and necessity so require. This chapter establishes the Native American Heritage Commission, and specifies its powers and duties.

Public Resources Code section 21000 et seq.: Prior to the release of a negative declaration, a mitigated negative declaration, or an environmental impact report for a project, the lead agency is required to consult with tribes that are traditionally and culturally affiliated with the geographic area of the proposed project if the tribe requested notification and consultation. In the event that a project is determined to have a potential significant environmental effect, the act requires that alternative plans and mitigation measures be considered. CEQA includes tribal cultural resources, historic, and archaeological resources as integral features of the environment.

Public Resources Code section 71110: "The California Environmental Protection Agency, in designing its mission for programs, policies, and standards, shall do all of the following: (a) Conduct its programs, policies, and activities that substantially affect human health or the environment in a manner that ensures the fair treatment of people of all races, cultures, and income levels, including minority populations and low-income populations of the state. (b) Promote enforcement of all health and environmental statutes within its jurisdiction in a manner that ensures the fair treatment of people of all races, cultures, and income levels, including minority populations and low-income populations in the state. (c) Ensure greater public participation in the agency's development, adoption, and implementation of environmental regulations and policies. (d) Improve research and data collection for programs within the agency relating to the health of, and environment of, people of all races, cultures, and income levels, including minority populations and low-income populations of the state. (e) Coordinate its efforts and share information with the United States Environmental Protection Agency. (f) Identify differential patterns of consumption of natural resources among people of different socioeconomic classifications for programs within the agency."

<u>Executive Order B-10-11</u>: Reaffirms the inherent right of both federally recognized tribes and California Native Americans with sovereign authority over their members and territories, establishes the Governor's Tribal Advisor position within the Governor's Office, reaffirms the state's commitment to working with tribes, and encourages communication and consultation with tribes.