



EXEMPTION APPLICATION

Category I – Consolidation of Existing Executive Orders

A manufacturer can request the California Air Resources Board (CARB) to consolidate its previously issued exemption Executive Orders (EO) into a newly issued exemption Executive Order. A new exemption Executive Order will be issued when all of the following criteria are met:

1. Device name is the same on all exemption Executive Orders to be consolidated.
2. Applicable OEM is the same on all exemption Executive Orders, except when combined under the same test group or engine family, to be consolidated.
3. Device description is the same on all exemption Executive Orders to be consolidated.
4. All information provided associated with the exemption application as approved by the Executive Officer is still applicable to the device(s) to be consolidated.

If the submitted application is outside the scope of this application form, the application will be redirected by the Executive Officer to an appropriate category. A written response will be sent to the manufacturer detailing why the submitted application does not qualify for this requested category and if additional information is needed to continue the evaluation process.

APPLICANT INFORMATION

Name of Device Manufacturer with design control:		
Device Name:		
Name of Authorized Device Manufacturer Contact:		
Mailing Address:		
City:	State:	Zip Code:
Telephone Number:		Email Address:
Name of Authorized Representative (if different from above):		
Mailing Address:		
City:	State:	Zip Code:
Telephone Number:		Email Address:

Category I – Consolidation Checklist

Please confirm the following:

1. The application seeks consolidation of existing Executive Orders.
Yes No
2. Device name is the same on all exemption Executive Orders to be consolidated.
Yes No
3. Applicable OEM is the same on all exemption Executive Orders, except when combined under the same test group or engine family, to be consolidated.
Yes No
4. Device description is the same on all exemption Executive Orders to be consolidated.
Yes No
5. All information provided associated with the exemption application as approved by the Executive Officer is still applicable to the device(s) to be consolidated.
Yes No
6. There has been no change to the fit, function, and/or design of the device, part, or modification? Yes No

VEHICLE/ENGINE COVERAGE, AS TO BE LISTED ON NEW ISSUED EO

(Attach applicable file)

Provide a list of applicable vehicle(s) or engine(s) in the format specified below using Excel.

Device Name	*Existing EO #	Part Number	Vehicle Make	Vehicle Model	Model Year(s)	Engine Displacement	Test Group information if listed on original Exemption Executive Order(s)	Evaporative Family information if listed on original Exemption Executive Order(s)

* Will not be listed on new EO.

REQUESTED INFORMATION

To expedite CARB's review of this submitted application, please provide the following:

1. Exemption Executive Order number(s) applicable to this consolidation request. List them below:

2. Documentation demonstrating that the consolidation will retain the same device design, fit, and function as those which are currently exempted.
3. Facsimile of the product information label. Labeling requirements can be found here under Section VIII ([Insert hyperlink to exemption procedures](#)).

REQUEST FOR SAMPLE

CARB may require one or more devices for evaluation. Device(s) will be returned only if a return is requested at the time the device(s) is submitted. Do you agree to provide the device(s) free of costs? Yes No

SIGNATURE

I affirm the information provided is true, accurate, and complete, and that to the best of my knowledge installation of the device on a covered vehicle or engine will not cause regulated emissions to increase beyond the certification standards to which the vehicle or engine was certified, including any noxious or toxic matter, and will not cause emission control components and OBD to function differently than as designed by the vehicle or engine manufacturer.

I understand that I shall not: 1. Use the Executive Order as an endorsement or approval by CARB, 2. Market the device(s) using any identification other than that shown on the Executive Order, 3. Apply the Executive Order to parts sold prior to the date shown on the Executive Order, 4. Market the device(s) for an application other than those listed on the Executive Order, 5. Offer for sale, or advertise any component of an applicable kit as an individual device, 6. Advertise the product as capable of reducing emissions, or 7. Advertise, offer for sale, sell, or install the device on a motor vehicle, except on-road motorcycle, prior to or concurrent with transfer to an ultimate purchaser.

Signature (authorized device manufacturer contact):	Date of Signature:
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INSTRUCTIONS FOR SUBMITTING THIS FORM

Please submit to: (insert email address). A received receipt email will be automatically sent to the sender's email account.

For questions regarding this form, please contact (insert email address)

A staff engineer assigned to your application submission will contact you after a preliminary review has been completed.

PRIVACY STATEMENT

Under the California Public Records Act (Gov. Code, § 6250 et seq.), your submissions, including associated contact information (e.g., your address, phone, email, etc.) become public records and may be released to the public upon request. Personal information will be protected from disclosure as required by law, including under the Information Practices Act (Cal. Civ. Code, § 1798, et seq.). Information that is claimed to be confidential should be submitted as provided in CARB's regulations for submitting confidential data, California Code of Regulations, title 17, section 91011.



EXEMPTION APPLICATION

Category I – Model-Year Additions on Carryover Vehicle(s) or Engine(s)

A manufacturer can request the California Air Resources Board (CARB) to update its previously issued exemption Executive Order (EO) with additional model-years. The Executive Officer shall grant the request upon determining that the findings leading to the initial exemption Executive Order approval are valid for the added model years. A new exemption Executive Order will be issued without the need of additional testing. New part numbers can be assigned to the model-year additions only.

If the submitted application is outside the scope of this application form, the application will be redirected by the Executive Officer to an appropriate category. A written response will be sent to the manufacturer detailing why the submitted application does not qualify for this requested category and if additional information is needed to continue the evaluation process.

APPLICANT INFORMATION

Name of Device Manufacturer with design control:		
Device Name:		
Name of Authorized Device Manufacturer Contact:		
Mailing Address:		
City:	State:	Zip Code:
Telephone Number:		Email Address:
Name of Authorized Representative (if different from above):		
Mailing Address:		
City:	State:	Zip Code:
Telephone Number:		Email Address:

Category I – Model-Year Additions Checklist

1. The application seeks Model-Year Additions¹ on Carryover Vehicle(s) or Engine(s). Yes No

2. The requested vehicle **models** are covered under an existing EO. Yes No
 - i. Existing EO Number(s): _____

3. The part/device has the same design, fit, and function. Yes No

If all the above answers in items 1-3 are Yes, proceed with submitting the application. If any answer is No, this is not a Category I application and you must select the appropriate Category from Categories II through IX.

¹Additional part numbers are also allowed, but application must meet other requirements first.

VEHICLE/ENGINE COVERAGE, AS TO BE LISTED ON NEW ISSUED EO

(Attach applicable file)

Provide a list of applicable vehicle(s) or engine(s) in the format specified below using Excel.

Device Name	Part Number	Vehicle Make	Vehicle Model	Model Year(s)	Engine Displacement	Engine Test Group information on new additions and only if listed on original Exemption Executive Order(s)	Evaporative Family information on new additions and only if listed on original Exemption Executive Order(s)

Highlight or identify new model-year additions.

If applicable, provide a list of excluded models/configurations that are within the scope of requested new vehicle or engine coverage.

REQUESTED INFORMATION

To expedite CARB's review of this submitted application, please provide the following:

1. Documentation demonstrating that the added model-year(s) will retain the same device design (excluding exterior appearances such as color), fit, and function as those which are currently exempted.
2. Justification for the carryover request. Provide engineering analysis and/or documentation addressing model-year additions as they pertain to:
 - a. Applicable emission standards and certification levels.
 - b. Prior worst case vehicle(s) or engine(s) tested.
 - c. Other information used in prior exemption Executive Order(s) that may support this request.
 - d. If the emissions control system has changed, how will the emissions be negatively impacted for the requested additional model years.
3. Facsimile of the product information label. Labeling requirements can be found here under Section VIII ([Insert hyperlink to exemption procedures](#)).

REQUEST FOR SAMPLE

CARB may require one or more devices for evaluation. Device(s) will be returned only if a return is requested at the time the device(s) is submitted. Do you agree to provide the device(s) free of costs? Yes No

SIGNATURE

I affirm the information provided is true, accurate, and complete, and that to the best of my knowledge installation of the device on a covered vehicle or engine will not cause regulated emissions to increase beyond the certification standards to which the vehicle or engine was certified, including any noxious or toxic matter, and will not cause emission control components and OBD to function differently than as designed by the vehicle or engine manufacturer.

I understand that I shall not: 1. Use the Executive Order as an endorsement or approval by CARB, 2. Market the device(s) using any identification other than that shown on the Executive Order, 3. Apply the Executive Order to parts sold prior to the date shown on the Executive Order, 4. Market the device(s) for an application other than those listed on the Executive Order, 5. Offer for sale, or advertise any component of an applicable kit as an individual device, 6. Advertise the product as capable of reducing emissions, or 7. Advertise, offer for sale, sell, or install the device on a motor vehicle, except on-road motorcycle, prior to or concurrent with transfer to an ultimate purchaser.

Signature (authorized device manufacturer contact):	Date of Signature:
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EXEMPTION APPLICATION

Category I – Part Number(s) or Name Change(s)

A manufacturer can request the California Air Resources Board (CARB) to update its previously issued exemption Executive Order (EO) to either add part numbers or make a name change. A new exemption Executive Order will be issued upon determination that the manufacturer's requested part number additions or name change has met the basis of the existing exemption Executive Order. A new exemption Executive Order will be issued on the basis of similarity without the need for additional testing.

If the submitted application is outside the scope of this application form, the application will be redirected by the Executive Officer to an appropriate category. A written response will be sent to the manufacturer detailing why the submitted application does not qualify for this requested category and if additional information is needed to continue the evaluation process.

APPLICANT INFORMATION

Name of Device Manufacturer with design control:		
Device Name:		
Name of Authorized Device Manufacturer Contact:		
Mailing Address:		
City:	State:	Zip Code:
Telephone Number:		Email Address:
Name of Authorized Representative (if different from above):		
Mailing Address:		
City:	State:	Zip Code:
Telephone Number:		Email Address:

Category I Part Number(s) or Name Change(s) Checklist

Please confirm that you are requesting the following:

1. The application seeks additional Part Number(s) or Name Change(s). Yes No
2. The requested coverage is already on an existing EO and is identical except for device name or part number. Yes No
3. There has been no change to the fit, function, and/or design of the device, part, or modification, excluding cosmetic changes? Yes No

REQUESTED VEHICLE/ENGINE COVERAGE, AS TO BE LISTED ON NEW ISSUED EO

(Attach applicable file)

Provide a list of applicable vehicle(s) or engine(s) in the format specified below using Excel. Fill in applicable columns.

*Existing Device Name	New Device Name	*Existing Part Number	New Part Number	Vehicle Make	Vehicle Model	Model Year(s)	Engine Displacement	Test Group information if only listed on original Exemption Executive Order(s)	Evaporative Family information if only listed on original Exemption Executive Order(s)

* Will not be listed on new EO.

REQUESTED INFORMATION

To expedite CARB's review of this submitted application, please provide the following:

1. Exemption Executive Order number applicable to this request.
 - a. _____
2. Documentation demonstrating that the added part numbers or name change will retain the same device design (excluding exterior appearances such as color), fit, and function as those which are currently exempted.
3. Facsimile of the product information label with the new part number or product name. Labeling requirements can be found here under Section VIII ([Insert hyperlink to exemption procedures](#)).

REQUEST FOR SAMPLE

CARB may require one or more devices for evaluation. Device(s) will be returned only if a return is requested at the time the device(s) is submitted. Do you agree to provide the device(s) free of costs? Yes No

SIGNATURE

I affirm the information provided is true, accurate, and complete, and that to the best of my knowledge installation of the device on a covered vehicle or engine will not cause regulated emissions to increase beyond the certification standards to which the vehicle or engine was certified, including any noxious or toxic matter, and will not cause emission control components and OBD to function differently than as designed by the vehicle or engine manufacturer.

I understand that I shall not: 1. Use the Executive Order as an endorsement or approval by CARB, 2. Market the device(s) using any identification other than that shown on the Executive Order, 3. Apply the Executive Order to parts sold prior to the date shown on the Executive Order, 4. Market the device(s) for an application other than those listed on the Executive Order, 5. Offer for sale, or advertise any component of an applicable kit as an individual device, 6. Advertise the product as capable of reducing emissions, or 7. Advertise, offer for sale, sell, or install the device on a motor vehicle, except on-road motorcycle, prior to or concurrent with transfer to an ultimate purchaser.

Signature (authorized device manufacturer contact):	Date of Signature:
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For questions regarding this form, please contact (insert email address)

A staff engineer assigned to your application submission will contact you after a preliminary review has been completed.

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EXEMPTION APPLICATION

Category I – Private Label(s) or Extending Coverage to Subsidiaries

A manufacturer can request the California Air Resources Board (CARB) to extend all or part of its previously issued exemption Executive Order(s) (EO) to another manufacturer or to its own subsidiaries. A manufacturer may request either a new Executive Order to be issued to this “marketing manufacturer” or a new Executive Order to be issued with the added marketing manufacturer listed in the Executive Order. The following criteria must be met:

1. Requesting manufacturer maintains design control.
2. Part(s) are identical in design (excluding exterior appearances, such as color), fit, and function to those currently exempted.

Private label(s) and subsidiaries can use different marketing names and part numbers for this request, but cannot make modifications to the applicable vehicle or engine list. The manufacturer with design control maintains all responsibility for emissions compliance.

If the submitted application is outside the scope of this application form, the application will be redirected by the Executive Officer to an appropriate category. A written response will be sent to the manufacturer detailing why the submitted application does not qualify for this requested category and if additional information is needed to continue the evaluation process.

APPLICANT INFORMATION

Name of Device Manufacturer with design control:		
Device Name:		
Name of Authorized Device Manufacturer Contact:		
Mailing Address:		
City:	State:	Zip Code:
Telephone Number:		Email Address:
Name of Authorized Representative (if different from above):		
Mailing Address:		
City:	State:	Zip Code:
Telephone Number:		Email Address:

MARKETING MANUFACTURER INFORMATION (Repeat for Each Marketer)

Name of marketing manufacturer:		
Device Name:		
Mailing Address:		
City:	State:	Zip Code:
Telephone Number:		Email Address:
Name of Authorized Representative:		
Mailing Address:		
City:	State:	Zip Code:
Telephone Number:		Email Address:

Category I – Private Label(s) or Extending Coverage to Subsidiaries Checklist

Please confirm the following:

1. The application seeks Private Label(s) or Extending Coverage to Subsidiaries. Yes No
2. Requesting manufacturer maintains design control. Yes No
3. Part(s) are identical in design (excluding exterior appearances, such as color), fit, and function to those currently exempted. Yes No
4. The requested vehicle coverage is already on an existing EO. Yes No

**REQUESTED VEHICLE/ENGINE COVERAGE, AS TO BE LISTED ON NEW ISSUED EO
(Repeat for Each Marketer if Applicable)**

(Attach applicable file)

Provide a list of applicable vehicle(s) or engine(s) in the format specified below using Excel. Fill in applicable columns.

*Existing Device Name	New Device Name	*Existing EO #	*Existing Part Number	New Part Number	Vehicle Make	Vehicle Model	Model Year(s)	Engine Displacement	Test Group information if listed on original Exemption Executive Order(s)	Evaporative Family information if listed on original Exemption Executive Order(s)
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* Will not be listed on new EO.

REQUESTED INFORMATION

To expedite CARB's review of this submitted application, please provide the following:

1. Exemption Executive Order number(s) applicable to this request.
 - a. _____
2. Statement attesting that the requesting manufacturer maintains design control.
3. Documentation demonstrating that the part(s)/device will retain the same device design (excluding exterior appearances such as color), fit, and function as those which are currently exempted.
4. All installation instructions for the covered part(s) or kit(s).
5. Facsimile of the product information label for each secondary marketer. Labeling requirements can be found here under Section VIII ([Insert hyperlink to exemption procedures](#)).
6. Recipient of the new Executive Order, please check appropriate box:
 - Marketing manufacturer, **or**
 - Requesting manufacturer with the marketing manufacturer added to the Executive Order

REQUEST FOR SAMPLE

CARB may require one or more devices for evaluation. Device(s) will be returned only if a return is requested at the time the device(s) is submitted. Do you agree to provide the device(s) free of costs? Yes No

SIGNATURE

I affirm the information provided is true, accurate, and complete, and that to the best of my knowledge installation of the device on a covered vehicle or engine will not cause regulated emissions to increase beyond the certification standards to which the vehicle or engine was certified, including any noxious or toxic matter, and will not cause emission

control components and OBD to function differently than as designed by the vehicle or engine manufacturer.

I understand that I shall not: 1. Use the Executive Order as an endorsement or approval by CARB, 2. Market the device(s) using any identification other than that shown on the Executive Order, 3. Apply the Executive Order to parts sold prior to the date shown on the Executive Order, 4. Market the device(s) for an application other than those listed on the Executive Order, 5. Offer for sale, or advertise any component of an applicable kit as an individual device, 6. Advertise the product as capable of reducing emissions, or 7. Advertise, offer for sale, sell, or install the device on a motor vehicle, except on-road motorcycle, prior to or concurrent with transfer to an ultimate purchaser.

Signature (authorized device manufacturer contact):	Date of Signature:
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EXEMPTION APPLICATION

Category II – Air Intake Kits or Modifications

Air Intake kits or modifications made to the stock air intake system that are not applicable to Category VIII and include no other parts or modifications for proper installation. Category IX should be selected for the application if other parts or modifications are included.

APPLICANT INFORMATION

Name of Device Manufacturer with design control:		
Device Name:		
Name of Authorized Device Manufacturer Contact:		
Mailing Address:		
City:	State:	Zip Code:
Telephone Number:	Email Address:	
Name of Authorized Representative (if different from above):		
Mailing Address:		
City:	State:	Zip Code:
Telephone Number:	Email Address:	

APPLICATION REQUIREMENTS

1. Vehicle coverage list must be:
 - a. Single original equipment vehicle/engine manufacturer
 - b. Same vehicle class unless combined under same engine test group
 - c. Same engine configuration (e.g., I4, V6, V8, method of aspiration)
 - d. Same fuel type (flex-fuel may be included with gasoline)

- e. Same emissions control technology (grouping patterns follow those of On-Road New Vehicle or Engine Certification Executive Orders).
 - f. Same emission standards (e.g., LEV III ULEV 125 and LEV III ULEV 30 are unique emission standards).
2. List of part numbers assigned by the manufacturer for each unique design or modification.
 3. For each part number, step-by-step installation instructions with associated parts list and diagrams/pictures/schematics for proper installation of each part number. Installation instructions that represent more than one part number must have all applicable part numbers clearly presented in the instructions, along with applicable vehicles covered.
 4. Detailed description of the device to assist CARB staff in understanding its operation, including technical operating principles, dimensional drawings, schematics, material specifications, and impacts to performance, fuel economy, drivability, emission control components, OBD, and emissions. Manufacturers shall include impacts to any affected OEM parts, components or systems, identified by part name and number
 5. A facsimile of the exemption label (see Section VIII of the Procedures for labeling requirements). Manufacturers with a previously issued exemption Executive Order must include an actual physical label with the first application submission of each calendar year.

APPLICATION REQUESTS

1. Sample device (if requested by the Executive Officer).
2. Independent laboratory report (in the format as detailed in Section V(e) of the Procedures) from emissions and OBD II testing, if previously completed.

VEHICLE/ENGINE COVERAGE LIST*

(attach applicable file)

Please provide a spreadsheet (in Excel), with all vehicle(s) or engine(s) coverage information. File name should identify vehicle list according to requirements outlined above in item 1 as follows: Mfr_Device_OEM_Class_Engine-Config._Fuel Type_Emissions Std

For example: Air-Intake-Maker_Super-Flow_Ford_LDT_V6-TC_Gas_LEV3ULEV70

Part Number	Model Year	Model	Engine Size	Test Group	Evaporative Family	New Vehicle Cert. EO #
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*If applicable, provide a list of excluded models/configurations that are within the scope of requested vehicle or engine coverage.

INSTALLATION INSTRUCTIONS

(Submit all applicable installation instructions)

Total number of uploaded or attached installation instruction files: _____

DETAILED DEVICE DESCRIPTION

(Insert text or attach a separate document for device description)

For each unique design or modification:

- Include color pictures of all components with size dimensions
- Material specifications for all components
- If the stock system contains any sensor(s), specify the sensor function, and provide comparison pictures of the stock and modified part(s) which contain the impacted sensor(s).

The following questions regarding your modification(s) will help CARB staff evaluate your device as it relates to the evaporative systems. Please answer, in specific detail, each response.

1. Does the OEM system contain a hydrocarbon trap (HCT)? If yes, proceed to question 1(a), if no, proceed to question 2.
 - a. Is the OEM HCT retained? Yes [] No [] If yes, proceed to 1(b), if no, proceed to question 1(c)-(e).
 - b. If the OEM HCT is retained, explain how OEM HCT is incorporated to the new air intake system. Provide methodology of affixing the HCT to the air intake, supplying pictures or drawings to compare original and new HCT location.
 - c. If the OEM HCT is replaced: Compare modified HCT to OEM HCT. Provide material and dimension specifications, HCT location, methodology of affixing the HCT to the air intake and durability data. Supply pictures or drawings for clarification.
 - d. If replacing the OEM HCT, it must be replaced with one with demonstrated evaporative emissions and mechanical durability performance of 150,000 miles or 10 years.
 - e. If replacing the OEM HCT, how will 150,000 mile evaporative emission durability and mechanical durability be demonstrated?

2. Are vehicle(s) or engine(s) listed in vehicle coverage application list certified to “the optional zero fuel evaporative emissions standard” (e.g. 0.35/0.40 diurnal PC/LDT standards) LEV III evaporative emission standards? If yes, proceed to question 2(a)
- Explain in detail, how, the tube/component design of the stock system is designed to retain evaporative emissions.
 - If the stock system is designed to retain evaporative emissions without the use of a hydrocarbon trap, how is your kit or modification designed to maintain the intent of the original equipment design.

EMISSIONS EVALUATION CRITERIA *

* Explanation of Evaluation Criteria can be found here ([Insert hyperlink](#)).

Evaluation Criteria (Check One):

- Emission Standards
 Comparison to Baseline Emission Levels

LABELING REQUIREMENTS *

* Explanation of labeling requirements can be found here ([Insert hyperlink](#)).

(Submit facsimile of an exemption label)

(Submit material and lettering specifications for label)

REQUEST FOR SAMPLE

CARB may require one or more devices for evaluation. Device(s) will be returned only if a return is requested at the time the device(s) is submitted. Do you agree to provide the device(s) free of costs? Yes No

SIGNATURE

I affirm the information provided is true, accurate, and complete, and that to the best of my knowledge installation of the device on a covered vehicle or engine will not cause regulated emissions to increase beyond the certification standards to which the vehicle or engine was certified, including any noxious or toxic matter, and will not cause emission control components and OBD to function differently than as designed by the vehicle or engine manufacturer.

I understand that I shall not: 1. Use the Executive Order as an endorsement or approval by CARB, 2. Market the device(s) using any identification other than that shown on the Executive Order, 3. Apply the Executive Order to parts sold prior to the date shown on the Executive Order, 4. Market the device(s) for an application other than those listed on the Executive Order, 5. Offer for sale, or advertise any component of an applicable kit as an individual device, 6. Advertise the product as capable of reducing emissions, or 7. Advertise, offer for sale, sell, or install the device on a motor vehicle, except on-road motorcycle, prior to or concurrent with transfer to an ultimate purchaser.

Signature (authorized device manufacturer contact):	Date of Signature:
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EXEMPTION APPLICATION

Category III – Engine Control Module (ECM) Programmers and/or ECM Signal Modifications

New ECM calibrations and/or ECM signal modifications that include no other parts or modifications for proper installation. Category IX should be selected for the application if other parts or modifications are included.

APPLICANT INFORMATION

Name of Device Manufacturer with design control:		
Device Name:		
Name of Authorized Device Manufacturer Contact:		
Mailing Address:		
City:	State:	Zip Code:
Telephone Number:	Email Address:	
Name of Authorized Representative (if different from above):		
Mailing Address:		
City:	State:	Zip Code:
Telephone Number:	Email Address:	

APPLICATION REQUIREMENTS

1. Vehicle coverage list must be:
 - a. Single original equipment vehicle/engine manufacturer
 - b. Same vehicle class unless combined under same engine test group
 - c. Same engine configuration (e.g., I4, V6, V8, method of aspiration)

- d. Same fuel type (flex-fuel may be included with gasoline)
 - e. Same emissions control technology (grouping patterns follow those of On-Road New Vehicle or Engine Certification Executive Orders).
 - f. Same emission standards (e.g., LEV III ULEV 125 and LEV III ULEV 30 are unique emission standards).
2. List of part numbers assigned by the manufacturer for each unique calibration (calibration number(s) and/or unique calibration identifier(s)).
 3. For each part number, step-by-step installation instructions with associated parts list and diagrams/pictures/schematics for proper installation of each part number. Installation instructions that represent more than one part number must have all applicable part numbers clearly presented in the instructions, along with applicable vehicles covered.
 4. Detailed description of the device to assist CARB staff in understanding its operation, including technical operating principles, dimensional drawings, schematics, material specifications, and impacts to performance, fuel economy, drivability, emission control components, OBD, and emissions. Manufacturers shall include impacts to any affected OEM parts, components or systems, identified by part name and number
 5. A facsimile of the exemption label (see Section VIII of the Procedures for labeling requirements). Manufacturers with a previously issued exemption Executive Order must include an actual physical label with the first application submission of each calendar year.
 6. A plan to collect vehicle identification numbers (VIN) from purchaser's vehicles for any device that modifies a stock engine, body, or transmission control module's software, excluding speedometer and/or wheel speed adjusters.

APPLICATION REQUESTS

1. Sample device (if requested by the Executive Officer).
2. Independent laboratory report (in the format as detailed in Section V(e) of the Procedures) from emissions and OBD II testing, if previously completed.
3. Stock and modified maximum horsepower graphs. If vehicle is equipped with a supercharger or turbocharger, include stock and modified maximum boost pressure graphs.

VEHICLE/ENGINE COVERAGE LIST*

(attach applicable file)

Please provide a spreadsheet (in Excel) with all of the vehicle(s) or engine(s) coverage information. File name should identify vehicle list according to requirements outlined above in item 1 as follows: Mfr_Device_OEM_Class_Engine-Config._Fuel Type_Emissions Std

For example: Tuner-Maker_Super-Tuner_Ford_LDT_V6-TC_Gas_LEV3ULEV70

Part Number	Calibration Number/ Identifier	Model Year	Model	Engine Size	Test Group	Evaporative Family	New Vehicle Cert. EO #	Performance Impact (i.e. HP, TQ, and/or Boost)
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*If applicable, provide a list of excluded models/configurations that are within the scope of requested vehicle or engine coverage.

INSTALLATION INSTRUCTIONS

(Submit all applicable installation instructions)

Total number of uploaded or attached installation instruction files: _____

DETAILED DEVICE DESCRIPTION

(Insert text or attach a separate document for device description)

Include all technical operating principles, dimensional drawings, electrical schematics, material specifications, and impacts to performance, fuel economy, drivability, and emissions for the following affected parts, components, and/or systems (if applicable).

- ECM parameters (e.g. fuel tables, lambda tables, ignition advance/retard, parameters sensed/controlled, and/or adjustable settings)
- Transmission Control Module (TCM) parameters (e.g. shift points, shift pressure)
- Sensors (i.e. signal modifications, location, and/or functionality)

The following questions in regards to your device's modification(s) will help CARB staff evaluate your device. Please answer, in specific detail, each response.

1. Identify all parameters being sensed and engine parameters or components being controlled by your device. Attach a table and/or spreadsheet to support your explanation with the following:
 - a. Include all Parameters Sensed and Parameters Controlled.

- b. Explain how and to what degree these parameters/components are being controlled and/or modified.
 - c. Describe the conditions under which these parameter changes are active.
2. Submit calibration tables and/or lookup tables for all of the parameters being modified by your device (e.g. fuel, ignition timing, boost pressure, volumetric efficiency, air/fuel target ratios, etc.). You may attach these, formatted as electronic spreadsheets, diagrams, and/or photos.
3. Explain in technical and quantitative terms how your device affects performance, fuel economy, and emissions during the following operating conditions:
 - a. Low load (e.g. highway cruise speeds, coasting)
 - b. High load (e.g. hard acceleration, towing)
 - c. Cold start (i.e. below normal operating temperature)
 - d. Idle speeds
 - e. Rapid-throttle motion (also explain fuel enrichment in terms of percentage), or percentage gain in signal voltage(s), etc.)
 - f. Wide-open throttle (also explain fuel enrichment in terms of percentage), or percentage gain in signal voltage(s), etc.)
4. Identify any and all changes made to ECU programming that are outside any emissions test cycles (e.g. FTP, SFTP). Be sure to quantify (e.g. percentage) any change to the vehicle's performance, fuel economy, and emissions when compared to stock configuration.
5. Identify all operating conditions where your device command open loop operation, turn off any emission control components, or turn off OEM designated engine derates (power reductions).
6. Describe the effects your device's modifications could have on the durability of the engine and any of its emission control components?
7. Describe the effects your device's modifications could have on vehicle drivability?
8. Include comparative (baseline vs. modified) maximum horsepower and/or torque test results for any of the included vehicles.
9. Disclose if your device's ECU modification utilizes multiple calibration mapping (i.e. user selectable recalibrations). If more than one calibration map is being utilized, please elaborate on the major differences between each map. For each additional map, address all above items.
10. Describe any modifications that have been made to any OBDII diagnostics (monitors or DTCs).

EMISSIONS EVALUATION CRITERIA *

* Explanation of Evaluation Criteria can be found here ([Insert hyperlink](#)).

Evaluation Criteria (Check One):

- Emission Standards
 Comparison to Baseline Emission Levels

LABELING REQUIREMENTS *

* Explanation of labeling requirements can be found here ([Insert hyperlink](#)).

(Submit facsimile of an exemption label)

(Submit material and lettering specifications for label)

REQUEST FOR SAMPLE

CARB may require one or more devices for evaluation. Device(s) will be returned only if a return is requested at the time the device(s) is submitted. Do you agree to provide the device(s) free of costs? Yes No

SIGNATURE

I affirm the information provided is true, accurate, and complete, and that to the best of my knowledge installation of the device on a covered vehicle or engine will not cause regulated emissions to increase beyond the certification standards to which the vehicle or engine was certified, including any noxious or toxic matter, and will not cause emission control components and OBD to function differently than as designed by the vehicle or engine manufacturer.

I understand that I shall not: 1. Use the Executive Order as an endorsement or approval by CARB, 2. Market the device(s) using any identification other than that shown on the Executive Order, 3. Apply the Executive Order to parts sold prior to the date shown on the Executive Order, 4. Market the device(s) for an application other than those listed on the Executive Order, 5. Offer for sale, or advertise any component of an applicable kit as an

individual device, 6. Advertise the product as capable of reducing emissions, or 7. Advertise, offer for sale, sell, or install the device on a motor vehicle, except on-road motorcycle, prior to or concurrent with transfer to an ultimate purchaser.

Signature (authorized device manufacturer contact):	Date of Signature:
---	--------------------

INSTRUCTIONS FOR SUBMITTING THIS FORM

Please submit to: (insert email address). A received receipt email will be automatically sent to the sender's email account.

For questions regarding this form, please contact (insert email address)

A staff engineer assigned to your application submission will contact you after a preliminary review has been completed.

PRIVACY STATEMENT

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EXEMPTION APPLICATION

Category IV – Fuel Tanks or Fuel Tank Modifications

New fuel tanks or modifications made to the stock fuel tank, including hoses and lines.

APPLICANT INFORMATION

Name of Device Manufacturer with design control:		
Device Name:		
Name of Authorized Device Manufacturer Contact:		
Mailing Address:		
City:	State:	Zip Code:
Telephone Number:	Email Address:	
Name of Authorized Representative (if different from above):		
Mailing Address:		
City:	State:	Zip Code:
Telephone Number:	Email Address:	

APPLICATION REQUIREMENTS

1. Vehicle coverage list must fall within the following scope:
 - a. Single original equipment vehicle/engine manufacturer
 - b. Same vehicle class unless combined under same engine test group
 - c. Same engine configuration (e.g., I4, V6, V8, method of aspiration)
 - d. Same fuel type (flex-fuel may be included with gasoline)

- e. Same emissions control technology (grouping patterns follow those of On-Road New Vehicle or Engine Certification Executive Orders).
 - f. Same emission standards (e.g., LEV III ULEV 125 and LEV III ULEV 30 are unique emission standards).
2. List of part numbers assigned by the manufacturer for each unique design or modification.
 3. For each part number, step-by-step installation instructions with associated parts list and diagrams/pictures/schematics for proper installation of each part number. Installation instructions that represent more than one part number must have all applicable part numbers clearly presented in the instructions, along with applicable vehicles covered.
 4. Detailed description of the device to assist CARB staff in understanding its operation, including technical operating principles, dimensional drawings, schematics, material specifications, and impacts to performance, fuel economy, drivability, emission control components, OBD, and emissions. Manufacturers shall include impacts to any affected OEM parts, components or systems, identified by part name and number.
 5. A facsimile of the exemption label (see Section VIII of the Procedures for labeling requirements). Manufacturers with a previously issued exemption Executive Order must include an actual physical label with the first application submission of each calendar year.

APPLICATION REQUESTS

1. Sample device (if requested by the Executive Officer).
2. Independent laboratory report (in the format as detailed in Section V(e) of the Procedures) from emissions and OBD II testing, if previously completed.

VEHICLE/ENGINE COVERAGE LIST*

(attach applicable file)

Please provide a spreadsheet (in Excel) with all of the vehicle(s) or engine(s) coverage information. File name should identify vehicle list according to requirements outlined above in item 1 as follows: Mfr_Device_OEM_Class_Engine-Config_Fuel Type_Emissions Std

For example: Tank-Maker_Super-Tank_Ford_LDT_V6-TC_Gas_LEV3ULEV70

Part Number	Model Year	Model	Engine Size	Test Group	Evaporative Family	New Vehicle Cert. EO #
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*If applicable, provide a list of excluded models/configurations that are within the scope of requested vehicle or engine coverage.

INSTALLATION INSTRUCTIONS

(Submit all applicable installation instructions)

Total number of uploaded or attached installation instruction files: _____

DETAILED DEVICE DESCRIPTION

(Insert text or attach a separate document for device description)

For each unique design or modification:

- Include color pictures of all components with size dimensions
- Include material specifications for all components
- If the stock system contains any sensor(s), provide comparison pictures of the stock and modified part(s) which contain the impacted sensor(s).

The following questions in regard to your modification(s) will help CARB staff evaluate your device or modification. Please answer in specific detail each question.

1. Does the device replace the stock fuel tank, re-locate the stock fuel tank, and/or add to the stock fuel tank?
2. Submit separate schematics of the stock fuel tank system and the modified fuel tank system. Schematics should include all the components from the fuel tank gas cap to the emission canister purge valve. Components include, but are not limited to, the gas cap, fuel fill pipe, fuel transfer tubes, check valves, fuel tank, fuel pump/sending unit, pressure sensor, rollover valves, vent valves, fuel lines, emission canister, emission vapor lines, vent lines, and on-board diagnostic system components. Indicate on the schematics how all the components are connected and which components are replaced, re-used, added, extended, and/or modified. Submit a separate explanation, if needed.
3. Submit the "AIR RESOURCES BOARD APPLICATION FORMAT FOR FUEL TANK SYSTEM COMPONENT REQUIREMENTS" found here ([Insert hyperlink](#)).
4. Submit the "AIR RESOURCES BOARD APPLICATION FORMAT FOR COMPLIANCE WITH THE FILL PIPE REQUIREMENTS" found here ([Insert hyperlink](#)), if replacing the stock fuel fill pipe.

5. Is the vehicle's emission canister purge system modified (e.g., canister purge valve, vent solenoid, etc.)? Explain.
6. Submit separate schematics of the stock exhaust system and the modified exhaust system. Schematics should include all the components from the exhaust manifold to the tail pipe. Components include, but are not limited to, the exhaust sensors, catalytic converters, resonators, mufflers, and exhaust pipes. Indicate on the schematics how all the components are connected and which components are replaced, re-used, added, removed, lowered, and/or cut. Submit a separate explanation, if needed.
7. What impact do the exhaust system modifications have on vehicle exhaust emissions, backpressure, and ground clearance?
8. Describe all other modifications made to the vehicle.

EMISSIONS EVALUATION CRITERIA *

* Explanation of Evaluation Criteria can be found here ([Insert hyperlink](#)).

Evaluation Criteria (Check One):

- Emission Standards
 Comparison to Baseline Emission Levels

LABELING REQUIREMENTS *

* Explanation of labeling requirements can be found here ([Insert hyperlink](#)).

(Submit facsimile of an exemption label)

(Submit material and lettering specifications for label)

REQUEST FOR SAMPLE

CARB may require one or more devices for evaluation. Device(s) will be returned only if a return is requested at the time the device(s) is submitted. Do you agree to provide the device(s) free of costs? Yes No

SIGNATURE

I affirm the information provided is true, accurate, and complete, and that to the best of my knowledge installation of the device on a covered vehicle or engine will not cause regulated emissions to increase beyond the certification standards to which the vehicle or engine was certified, including any noxious or toxic matter, and will not cause emission control components and OBD to function differently than as designed by the vehicle or engine manufacturer.

I understand that I shall not: 1. Use the Executive Order as an endorsement or approval by CARB, 2. Market the device(s) using any identification other than that shown on the Executive Order, 3. Apply the Executive Order to parts sold prior to the date shown on the Executive Order, 4. Market the device(s) for an application other than those listed on the Executive Order, 5. Offer for sale, or advertise any component of an applicable kit as an individual device, 6. Advertise the product as capable of reducing emissions, or 7. Advertise, offer for sale, sell, or install the device on a motor vehicle, except on-road motorcycle, prior to or concurrent with transfer to an ultimate purchaser.

Signature (authorized device manufacturer contact):	Date of Signature:
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submitted as provided in CARB's regulations for submitting confidential data, California Code of Regulations, title 17, section 91011.

DRAFT



EXEMPTION APPLICATION

Category V – Intercooler Kits, Intercooler Components or Modifications

Intercooler kits, intercoolers, intercooler pipes or tubes, or modifications made to the stock intercooler systems that include no other parts or modifications for proper installation. Category IX should be selected for the application if other parts or modifications are included.

APPLICANT INFORMATION

Name of Device Manufacturer with design control:		
Device Name:		
Name of Authorized Device Manufacturer Contact:		
Mailing Address:		
City:	State:	Zip Code:
Telephone Number:	Email Address:	
Name of Authorized Representative (if different from above):		
Mailing Address:		
City:	State:	Zip Code:
Telephone Number:	Email Address:	

APPLICATION REQUIREMENTS

1. Vehicle coverage list must be:
 - a. Single original equipment vehicle/engine manufacturer
 - b. Same vehicle class unless combined under same engine test group
 - c. Same engine configuration (e.g., I4, V6, V8, method of aspiration)

- d. Same fuel type (flex-fuel may be included with gasoline)
 - e. Same emissions control technology (grouping patterns follow those of On-Road New Vehicle or Engine Certification Executive Orders).
 - f. Same emission standards (e.g., LEV III ULEV 125 and LEV III ULEV 30 are unique emission standards).
2. List of part numbers assigned by the manufacturer for each unique design or modification.
 3. For each part number, step-by-step installation instructions with associated parts list and diagrams/pictures/schematics for proper installation of each part number. Installation instructions that represent more than one part number must have all applicable part numbers clearly presented in the instructions, along with applicable vehicles covered.
 4. Detailed description of the device to assist CARB staff in understanding its operation, including technical operating principles, dimensional drawings, schematics, material specifications, and impacts to performance, fuel economy, drivability, emission control components, OBD, and emissions. Manufacturers shall include impacts to any affected OEM parts, components or systems, identified by part name and number
 5. A facsimile of the exemption label (see Section VIII of the Procedures for labeling requirements). Manufacturers with a previously issued exemption Executive Order must include an actual physical label with the first application submission of each calendar year.

APPLICATION REQUESTS

1. Sample device (if requested by the Executive Officer).
2. Independent laboratory report (in the format as detailed in Section V(e) of the Procedures) from emissions and OBD II testing, if previously completed.

VEHICLE/ENGINE COVERAGE LIST*

(attach applicable file)

Please provide a spreadsheet (in Excel) with all vehicle(s) or engine(s) coverage information. File name should identify vehicle list according to requirements outlined above in item 1 as follows: Mfr_Device_OEM_Class_Engine-Config._Fuel Type_Emissions Std

For example: Intercooler-Maker_Super-Intercooler_Ford_LDT_V6-TC_Gas_LEV3ULEV70

Part Number	Model Year	Model	Engine Size	Test Group	Evaporative Family	New Vehicle Cert. EO #
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*If applicable, provide a list of excluded models/configurations that are within the scope of requested vehicle or engine coverage.

INSTALLATION INSTRUCTIONS

(Submit all applicable installation instructions)

Total number of uploaded or attached installation instruction files: _____

DETAILED DEVICE DESCRIPTION

(Insert text or attach a separate document for device description)

Include all technical operating principles, dimensional drawings, electrical schematics, material specifications, and impacts to performance, fuel economy, drivability, and emissions for the following affected parts, components, and/or systems (if applicable).

- Heat exchanger, intercooler, or EGR cooler
- Make and model (if applicable)
- Size, volume, and type (air or water) of heat exchanger
- Intercooler tubing or pipes
- Sensors (e.g., signal modifications, location, and functionality)

The following regarding your modification(s) will help CARB staff evaluate your device or modification. Please answer, in specific detail, each response.

- Include color pictures of all components with size dimensions
- If the stock system contains any sensor(s), provide comparison pictures, diagrams, and/or dimensions of the stock and modified part(s) which contain the impacted sensor(s)

EMISSIONS EVALUATION CRITERIA *

* Explanation of Evaluation Criteria can be found here ([Insert hyperlink](#)).

Evaluation Criteria (Check One):

Emission Standards

Comparison to Baseline Emission Levels

LABELING REQUIREMENTS *

* Explanation of labeling requirements can be found here ([Insert hyperlink](#)).

(Submit facsimile of an exemption label)

(Submit material and lettering specifications for label)

REQUEST FOR SAMPLE

CARB may require one or more devices for evaluation. Device(s) will be returned only if a return is requested at the time the device(s) is submitted. Do you agree to provide the device(s) free of costs? Yes No

SIGNATURE

I affirm the information provided is true, accurate, and complete, and that to the best of my knowledge installation of the device on a covered vehicle or engine will not cause regulated emissions to increase beyond the certification standards to which the vehicle or engine was certified, including any noxious or toxic matter, and will not cause emission control components and OBD to function differently than as designed by the vehicle or engine manufacturer.

I understand that I shall not: 1. Use the Executive Order as an endorsement or approval by CARB, 2. Market the device(s) using any identification other than that shown on the Executive Order, 3. Apply the Executive Order to parts sold prior to the date shown on the Executive Order, 4. Market the device(s) for an application other than those listed on the Executive Order, 5. Offer for sale, or advertise any component of an applicable kit as an individual device, 6. Advertise the product as capable of reducing emissions, or 7. Advertise, offer for sale, sell, or install the device on a motor vehicle, except on-road motorcycle, prior to or concurrent with transfer to an ultimate purchaser.

Signature (authorized device manufacturer contact):	Date of Signature:
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EXEMPTION APPLICATION

Category VI – Supercharger and Turbocharger Kits or Modifications

Supercharger and Turbocharger kits or modifications made to a stock supercharger or turbocharger.

APPLICANT INFORMATION

Name of Device Manufacturer with design control:		
Device Name:		
Name of Authorized Device Manufacturer Contact:		
Mailing Address:		
City:	State:	Zip Code:
Telephone Number:	Email Address:	
Name of Authorized Representative (if different from above):		
Mailing Address:		
City:	State:	Zip Code:
Telephone Number:	Email Address:	

APPLICATION REQUIREMENTS

1. Vehicle coverage list must be:
 - a. Single original equipment vehicle/engine manufacturer
 - b. Same vehicle class unless combined under same engine test group
 - c. Same engine configuration (e.g., I4, V6, V8, method of aspiration)
 - d. Same fuel type (flex-fuel may be included with gasoline)

- e. Same emissions control technology (grouping patterns follow those of On-Road New Vehicle or Engine Certification Executive Orders).
 - f. Same emission standards (e.g., LEV III ULEV 125 and LEV III ULEV 30 are unique emission standards).
2. List of part numbers assigned by the manufacturer for each unique design or modification..
 3. For each part number, step-by-step installation instructions with associated parts list and diagrams/pictures/schematics for proper installation of each part number. Installation instructions that represent more than one part number must have all applicable part numbers clearly presented in the instructions, along with applicable vehicles covered.
 4. Detailed description of the device to assist CARB staff in understanding its operation, including technical operating principles, dimensional drawings, schematics, material specifications, and impacts to performance, fuel economy, drivability, emission control components, OBD, and emissions. Manufacturers shall include impacts to any affected OEM parts, components or systems, identified by part name and number
 5. A facsimile of the exemption label (see Section VIII of the Procedures for labeling requirements). Manufacturers with a previously issued exemption Executive Order must include an actual physical label with the first application submission of each calendar year.
 6. A plan to collect vehicle identification numbers (VIN) from purchaser's vehicles for any device that modifies a stock engine, body, or transmission control module's software, excluding speedometer and/or wheel speed adjusters.

APPLICATION REQUESTS

1. Sample device (or sample components) (if requested by the Executive Officer).
2. Independent laboratory report (in the format as detailed in Section V(e) of the Procedures) from emissions and OBD II testing, if previously completed.
3. Stock and modified maximum boost pressure graphs or maximum horsepower/torque graphs.

VEHICLE/ENGINE COVERAGE LIST*

(attach applicable file)

Please provide a spreadsheet (in Excel) with all applicable vehicle(s) or engine(s) coverage information. File name should identify vehicle list according to requirements outlined above in item 1 as follows: Mfr_Device_OEM_Class_Engine-Config._Fuel Type_Emissions Std

For example: Supercharger-Maker_Super-Charger_Ford_LDT_V6-TC_Gas_LEV3ULEV70

Part Number	Model Year	Model	Engine Size	Test Group	Evaporative Family	New Vehicle Cert. EO #	Performance Impact (i.e. HP, TQ, or Boost)
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Supercharger Pulley Size	Crank Pulley Size	Injector Fuel Rate	Handheld Tuner Model	ECU Calibration Identifier	Air Intake Part Number	HCA in Air Intake?	Intercooler Part Number
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*If applicable, provide a list of excluded models/configurations that are within the scope of requested vehicle or engine coverage.

INSTALLATION INSTRUCTIONS

(Submit all applicable installation instructions)

Total number of uploaded or attached installation instruction files: _____

DETAILED DEVICE DESCRIPTION

(Insert text or attach a separate document for device description)

Include all technical operating principles, dimensional drawings, electrical schematics, material specifications, and impacts to performance, fuel economy, drivability, and emissions for the following affected parts, components, and/or systems (if applicable).

Supercharger or Turbocharger Add-On or Modifications to Stock

1. New add-on systems

- a. Supercharger (make, model, unit type, size, drive and crank pulley diameters)
- b. Turbocharger (make, model, unit type, variable or fixed geometry, pneumatic or electronic waste gate, compressor and turbine impeller sizes, turbine housing area ratio (A/R), area of throat, turbine inlet and outlet pipes, and flange sizes)
- c. Boost pressure
- d. Fuel system hardware modifications
- e. Throttle body and intake manifold

2. Modifications made to stock system only

- a. Supercharger or turbocharger
- b. Boost pressure
- c. Intake or exhaust system
- d. Fuel system hardware
- e. Fuel and timing calibration
- f. Turbine inlet and outlet pipes

ECU Modifications

1. Identify all parameters being sensed and engine parameters or components being controlled by your device. Attach a table and/or spreadsheet to support your explanation with the following:
 - a. Include all Parameters Sensed and Parameters Controlled.
 - b. Explain how and to what degree these parameters/components are being controlled and/or modified.
 - c. Describe the conditions under which these parameter changes are active.
2. Submit calibration tables and/or lookup tables for all of the parameters being modified by your device (e.g. fuel, ignition timing, boost pressure, volumetric efficiency, air/fuel target ratios, etc.). You may attach these, formatted as electronic spreadsheets, diagrams, and/or photos.
3. Disclose if your device's ECU modification utilizes multiple calibration mapping (i.e. user selectable recalibrations). If more than one calibration map is being utilized, please elaborate on the major differences between each map. For each additional map, address all above items.

Air Intake Modifications

1. For each unique design or modification:
 - a. Include color pictures of all components with size dimensions
 - b. Material specifications for all components
 - c. If the stock system contains any sensor(s), provide comparison pictures of the stock and modified part(s) which contain the impacted sensor(s)
2. The following questions regarding your modification(s) will help CARB staff evaluate your device as it relates to the evaporative systems. Please answer to the best of your knowledge and include specific details in each response.
 - a. Does the stock system contain a hydrocarbon trap? If yes, proceed to question a(i), if no, proceed to question b.
 - i. How is the functionality of the hydrocarbon trap retained?

- b. Are vehicle(s) or engine(s) listed in application list certified to a zero evaporative emission standard? If yes, proceed to question b(i)
- i. Explain in detail, if or if not, the tube/component design of the stock system is designed to retain evaporative emissions.
 - ii. If the stock system is designed to retain evaporative emissions without the use of a hydrocarbon trap, how is your kit or modification designed to maintain the intent of the original equipment design.

Intercooler Add-On or Stock Intercooler System Modifications

- a. Intercooler (make, model, size, volume, type (air or water))
- b. Tubing or pipes
- c. Sensors (signal modifications, location, and functionality)

EMISSIONS EVALUATION CRITERIA *

* Explanation of Evaluation Criteria can be found here ([Insert hyperlink](#)).

Evaluation Criteria (Check One):

- Emission Standards
 Comparison to Baseline Emission Levels

LABELING REQUIREMENTS *

* Explanation of labeling requirements can be found here ([Insert hyperlink](#)).

(Submit facsimile of an exemption label)

(Submit material and lettering specifications for label)

REQUEST FOR SAMPLE

CARB may require one or more devices for evaluation. Device(s) will be returned only if a return is requested at the time the device(s) is submitted. Do you agree to provide the device(s) free of costs? Yes No

SIGNATURE

I affirm the information provided is true, accurate, and complete, and that to the best of my knowledge installation of the device on a covered vehicle or engine will not cause regulated emissions to increase beyond the certification standards to which the vehicle or engine was certified, including any noxious or toxic matter, and will not cause emission control components and OBD to function differently than as designed by the vehicle or engine manufacturer.

I understand that I shall not: 1. Use the Executive Order as an endorsement or approval by CARB, 2. Market the device(s) using any identification other than that shown on the Executive Order, 3. Apply the Executive Order to parts sold prior to the date shown on the Executive Order, 4. Market the device(s) for an application other than those listed on the Executive Order, 5. Offer for sale, or advertise any component of an applicable kit as an individual device, 6. Advertise the product as capable of reducing emissions, or 7. Advertise, offer for sale, sell, or install the device on a motor vehicle, except on-road motorcycle, prior to or concurrent with transfer to an ultimate purchaser.

Signature (authorized device manufacturer contact):	Date of Signature:
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EXEMPTION APPLICATION

Category VII – Pre-Catalyst Exhaust Components

Exhaust components installed upstream of any catalytic converter(s) such as headers, connecting pipes, exhaust manifolds, and turbine inlet and outlet pipes, that are not covered by Category VIII. No other parts or modifications can be required or included for proper installation under this category. Category IX should be selected for the application if other parts or modifications are included.

APPLICANT INFORMATION

Name of Device Manufacturer with design control:		
Device Name:		
Name of Authorized Device Manufacturer Contact:		
Mailing Address:		
City:	State:	Zip Code:
Telephone Number:	Email Address:	
Name of Authorized Representative (if different from above):		
Mailing Address:		
City:	State:	Zip Code:
Telephone Number:	Email Address:	

APPLICATION REQUIREMENTS

1. Vehicle coverage list must be:
 - a. Single original equipment vehicle/engine manufacturer
 - b. Same vehicle class unless combined under same engine test group

- c. Same engine configuration (e.g., I4, V6, V8, method of aspiration)
 - d. Same fuel type (flex-fuel may be included with gasoline)
 - e. Same emissions control technology (grouping patterns follow those of On-Road New Vehicle or Engine Certification Executive Orders).
 - f. Same emission standards (e.g., LEV III ULEV 125 and LEV III ULEV 30 are unique emission standards).
2. List of part numbers assigned by the manufacturer for each unique design or modification.
 3. For each part number, step-by-step installation instructions with associated parts list and diagrams/pictures/schematics for proper installation of each part number. Installation instructions that represent more than one part number must have all applicable part numbers clearly presented in the instructions, along with applicable vehicles covered.
 4. Detailed description of the device to assist CARB staff in understanding its operation, including technical operating principles, dimensional drawings, schematics, material specifications, and impacts to performance, fuel economy, drivability, emission control components, OBD, and emissions. Manufacturers shall include impacts to any affected OEM parts, components or systems, identified by part name and number
 5. A facsimile of the exemption label (see Section VIII of the Procedures for labeling requirements). Manufacturers with a previously issued exemption Executive Order must include an actual physical label with the first application submission of each calendar year.

APPLICATION REQUESTS

1. Sample device (stock and/or modified) (if requested by the Executive Officer).
2. Independent laboratory report (in the format as detailed in Section V(e) of the Procedures) from emissions and OBD II testing, if previously completed.

VEHICLE/ENGINE COVERAGE LIST*

(attach applicable file)

Please provide a spreadsheet (in Excel) with all vehicle(s) or engine(s) coverage information. File name should identify vehicle list according to requirements outlined above in item 1 as follows: Mfr_Device_OEM_Class_Engine-Config._Fuel Type_Emissions Std

For example: Exhaust-Maker_Super-Exhaust_Ford_LDT_V6-TC_Gas_LEV3ULEV70

Part Number	Model Year	Model	Engine Size	Test Group	Evaporative Family	New Vehicle Cert. EO #	Performance Impact (i.e. HP, TQ, or Boost)
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*If applicable, provide a list of excluded models/configurations that are within the scope of requested vehicle or engine coverage.

INSTALLATION INSTRUCTIONS

(Submit all applicable installation instructions)

Total number of uploaded or attached installation instruction files: _____

DETAILED DEVICE DESCRIPTION

(Insert text or attach a separate document for device description)

Include all technical operating principles, dimensional drawings, electrical schematics, material specifications, and impacts to performance, fuel economy, drivability, and emissions for the following affected parts, components, and/or systems (if applicable).

- Exhaust system and after-treatment
- Exhaust manifold, header
- Upstream oxygen sensors (e.g., location geometry, and functionality)
- Turbine inlet and outlet pipes

The following regarding your modification(s) will help CARB staff evaluate your device or modification. Please answer in detail.

- Include color pictures of all components with size dimensions
- If the stock system contains any sensor(s), specify the sensor function, and provide side-by-side comparison pictures of the stock and modified part(s) which contain the impacted sensor(s)

EMISSIONS EVALUATION CRITERIA *

* Explanation of Evaluation Criteria can be found here ([Insert hyperlink](#)).

Evaluation Criteria (Check One):

- Emission Standards
- Comparison to Baseline Emission Levels

LABELING REQUIREMENTS *

* Explanation of labeling requirements can be found here ([Insert hyperlink](#)).

(Submit facsimile of an exemption label)

(Submit material and lettering specifications for label)

REQUEST FOR SAMPLE

CARB may require one or more devices for evaluation. Device(s) will be returned only if a return is requested at the time the device(s) is submitted. Do you agree to provide the device(s) free of costs? Yes No

SIGNATURE

I affirm the information provided is true, accurate, and complete, and that to the best of my knowledge installation of the device on a covered vehicle or engine will not cause regulated emissions to increase beyond the certification standards to which the vehicle or engine was certified, including any noxious or toxic matter, and will not cause emission control components and OBD to function differently than as designed by the vehicle or engine manufacturer.

I understand that I shall not: 1. Use the Executive Order as an endorsement or approval by CARB, 2. Market the device(s) using any identification other than that shown on the Executive Order, 3. Apply the Executive Order to parts sold prior to the date shown on the Executive Order, 4. Market the device(s) for an application other than those listed on the Executive Order, 5. Offer for sale, or advertise any component of an applicable kit as an individual device, 6. Advertise the product as capable of reducing emissions, or 7. Advertise, offer for sale, sell, or install the device on a motor vehicle, except on-road motorcycle, prior to or concurrent with transfer to an ultimate purchaser.

Signature (authorized device manufacturer contact):	Date of Signature:
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INSTRUCTIONS FOR SUBMITTING THIS FORM

Please submit to: (insert email address). A received receipt email will be automatically sent to the sender's email account.

For questions regarding this form, please contact (insert email address)

A staff engineer assigned to your application submission will contact you after a preliminary review has been completed.

PRIVACY STATEMENT

Under the California Public Records Act (Gov. Code, § 6250 et seq.), your submissions, including associated contact information (e.g., your address, phone, email, etc.) become public records and may be released to the public upon request. Personal information will be protected from disclosure as required by law, including under the Information Practices Act (Cal. Civ. Code, § 1798, et seq.). Information that is claimed to be confidential should be submitted as provided in CARB's regulations for submitting confidential data, California Code of Regulations, title 17, section 91011.



EXEMPTION APPLICATION

Category VIII – Other Categorized Parts

This category is for add-on or modified parts that fit in one of the following descriptions:

- Air Filter Rams (e.g., dirty side air intake funnel, retaining stock air box lid)
- Air Cleaner Kits for motorcycles (not equipped with an original equipment manufacturer (OEM) HC trap)
- Automatic Transmission Shift Kits (no change in shift points)
- Exhaust or Transmission Braking Systems
- Ignition Coils, Spark Enhancers, Multi-spark Modules
- Ignition Modules that replace stock ignition points
- Ignition Distributors
- Intake Manifolds (limited to vehicles or engines not equipped with an OBD II system or EGR valve)
- Lift Pumps, Non-Adjustable Fuel Pumps, Fuel Pump Voltage Modifiers, or Fuel Pump Control Modules (only for vehicles or engines equipped with a downstream pressure regulator)
- Pulley Kits (limited to non-supercharged vehicles or engines)
- Axle Ratio Modifications (within a 3 percent N/V (engine speed to vehicle speed) ratio change from an OEM range option)
- Exhaust Manifolds or Shorty Headers for 1995 and older vehicles or engines that retain the stock or direct fit connecting pipe(s). Applicable sensor(s), air injection and EGR ports must remain in a stock location and orientation.
- Speedometer or Wheel Speed Adjusters
- Throttle Bodies (without fuel injectors), Throttle Body Spacers, Throttle Body Elbows, or Carburetor Spacers
- Torque Converters
- Oil Separators (limited to diesel vehicles or engines only)

APPLICANT INFORMATION

Name of Device Manufacturer with design control:		
Device Name:		
Name of Authorized Device Manufacturer Contact:		
Mailing Address:		
City:	State:	Zip Code:
Telephone Number:		Email Address:
Name of Authorized Representative (if different from above):		
Mailing Address:		
City:	State:	Zip Code:
Telephone Number:		Email Address:

APPLICATION REQUIREMENTS

1. Vehicle coverage list must be:
 - a. Single original equipment vehicle/engine manufacturer
 - b. Same vehicle class unless combined under same engine test group
 - c. Same engine configuration (e.g., I4, V6, V8, method of aspiration)
 - d. Same fuel type (flex-fuel may be included with gasoline)
 - e. Same emissions control technology (grouping patterns follow those of On-Road New Vehicle or Engine Certification Executive Orders).
 - f. Same emission standards (e.g., LEV III ULEV 125 and LEV III ULEV 30 are unique emission standards).

2. List of part numbers assigned by the manufacturer for each unique design or modification.

3. For each part number, step-by-step installation instructions with associated parts list and diagrams/pictures/schematics for proper installation of each part number. Installation instructions that represent more than one part number must have all applicable part numbers clearly presented in the instructions, along with applicable vehicles covered.
4. Detailed description of the device to assist CARB staff in understanding its operation, including technical operating principles, dimensional drawings, schematics, material specifications, and impacts to performance, fuel economy, drivability, emission control components, and OBD. Manufacturers shall include impacts to any affected OEM parts, components or systems, identified by part name and number
5. A facsimile of the exemption label (see Section VIII of the Procedures for labeling requirements). Manufacturers with a previously issued exemption Executive Order must include an actual physical label with the first application submission of each calendar year.

APPLICATION REQUESTS

1. Sample device (if requested by the Executive Officer).
2. Independent laboratory report (in the format as detailed in Section V(e) of the Procedures) for OBD testing, if previously completed.

VEHICLE/ENGINE COVERAGE LIST*

(attach applicable file)

Please provide a spreadsheet (in Excel) with all of the vehicle(s) or engine(s) coverage information. File name should identify vehicle list according to requirements outlined above in item 1 as follows: Mfr_Device_OEM_Class_Engine-Config._Fuel Type_Emissions Std

For example: Device-Maker_Device-Name_Ford_LDT_V6-TC_Gas_LEV3ULEV70

Part Number	Model Year	Model	Engine Size	Test Group	Evaporative Family	New Vehicle Cert. EO #
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*If applicable, provide a list of excluded models/configurations that are within the scope of requested vehicle or engine coverage.

INSTALLATION INSTRUCTIONS

(Submit all applicable installation instructions)

Total number of uploaded or attached installation instruction files: _____

DETAILED DEVICE DESCRIPTION

(Insert text or attach a separate document for device description)

Include all technical operating principles, dimensional drawings, electrical schematics, material specifications, and possible impacts to performance, fuel economy, drivability, and OBD.

For each unique design or modification:

- Include color pictures of all components with size dimensions
- Material specifications for all components
- If the stock system contains any sensor(s), specify the sensor function, and provide comparison pictures of the stock and modified part(s) which contain the impacted sensor(s)

EVALUATION CRITERIA

OBD system testing is required for 1996 and newer model-year vehicles.

LABELING REQUIREMENTS *

* Explanation of labeling requirements can be found here ([Insert hyperlink](#)).

(Submit facsimile of an exemption label)

(Submit material and lettering specifications for label)

REQUEST FOR SAMPLE

CARB may require one or more devices for evaluation. Device(s) will be returned only if a return is requested at the time the device(s) is submitted. Do you agree to provide the device(s) free of costs? Yes No

SIGNATURE

I affirm the information provided is true, accurate, and complete, and that to the best of my knowledge installation of the device on a covered vehicle or engine will not cause regulated emissions to increase beyond the certification standards to which the vehicle or engine was certified, including any noxious or toxic matter, and will not cause emission control components and OBD to function differently than as designed by the vehicle or engine manufacturer.

I understand that I shall not: 1. Use the Executive Order as an endorsement or approval by CARB, 2. Market the device(s) using any identification other than that shown on the Executive Order, 3. Apply the Executive Order to parts sold prior to the date shown on the Executive Order, 4. Market the device(s) for an application other than those listed on the Executive Order, 5. Offer for sale, or advertise any component of an applicable kit as an individual device, 6. Advertise the product as capable of reducing emissions, or 7. Advertise, offer for sale, sell, or install the device on a motor vehicle, except on-road motorcycle, prior to or concurrent with transfer to an ultimate purchaser.

Signature (authorized device manufacturer contact):	Date of Signature:
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EXEMPTION APPLICATION

Category IX – Add-On or Modified Part(s) Not Covered by Categories II through VIII.

This category is for add-on or modified parts that do not fit the criteria set forth in Categories II through VIII (hybrid kits, engine displacement increase kits, multistage performance kits with various components, camshafts, cylinder heads, transmissions, fuel injectors, and EGR coolers are just a few examples).

If the submitted application is missing information that is critical to the evaluation of the device or modification, a written response will be sent to the manufacturer requesting additional information.

APPLICANT INFORMATION

Name of Device Manufacturer with design control:		
Device Name:		
Name of Authorized Device Manufacturer Contact:		
Mailing Address:		
City:	State:	Zip Code:
Telephone Number:	Email Address:	
Name of Authorized Representative (if different from above):		
Mailing Address:		
City:	State:	Zip Code:
Telephone Number:	Email Address:	

APPLICATION REQUIREMENTS

1. Vehicle coverage list must be:
 - a. Single original equipment vehicle/engine manufacturer
 - b. Same vehicle class unless combined under same engine test group
 - c. Same engine configuration (e.g., I4, V6, V8, method of aspiration)
 - d. Same fuel type (flex-fuel may be included with gasoline)
 - e. Same emissions control technology (grouping patterns follow those of On-Road New Vehicle or Engine Certification Executive Orders).
 - f. Same emission standards (e.g., LEV III ULEV 125 and LEV III ULEV 30 are unique emission standards).
2. List of part numbers assigned by the manufacturer for each unique design or modification.
3. For each part number, step-by-step installation instructions with associated parts list and diagrams/pictures/schematics for proper installation of each part number. Installation instructions that represent more than one part number must have all applicable part numbers clearly presented in the instructions, along with applicable vehicles covered.
4. Detailed description of the device to assist CARB staff in understanding its operation, including technical operating principles, dimensional drawings, schematics, material specifications, and impacts to performance, fuel economy, drivability, emission control components, OBD, and emissions. Manufacturers shall include impacts to any affected OEM parts, components or systems, identified by part name and number
5. A facsimile of the exemption label (see Section VIII of the Procedures for labeling requirements). Manufacturers with a previously issued exemption Executive Order must include an actual physical label with the first application submission of each calendar year.

APPLICATION REQUESTS

1. Sample device or modified vehicle (if requested by the Executive Officer).
2. Independent laboratory report (in the format as detailed in Section V(e) of the Procedures) for emissions and OBD testing, if previously completed.

VEHICLE/ENGINE COVERAGE LIST*

(attach applicable file)

Please provide a spreadsheet (in Excel) with all of the vehicle(s) or engine(s) coverage information. File name should identify vehicle list according to requirements outlined above in item 1 as follows: Mfr_Device_OEM_Class_Engine-Config._Fuel Type_Emissions Std

For example: Device-Maker_Device-Name_Ford_LDT_V6-TC_Gas_LEV3ULEV70

Part Number	Model Year	Model	Engine Size	Test Group	Evaporative Family	New Vehicle Cert. EO #
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*If applicable, provide a list of excluded models/configurations that are within the scope of requested vehicle or engine coverage.

INSTALLATION INSTRUCTIONS

(Submit all applicable installation instructions)

Total number of uploaded or attached installation instruction files: _____

DETAILED DEVICE DESCRIPTION

(Insert text or attach a separate document for device description)

Include all technical operating principles, dimensional drawings, electrical schematics, material specifications, and possible impacts to performance, fuel economy, drivability, OBD, and emissions.

For each unique design or modification:

- Include color pictures of all components with size dimensions
- Material specifications for all components
- If the stock system contains any sensor(s), provide comparison pictures of the stock and modified part(s) which contain the impacted sensor(s)

EVALUATION CRITERIA

Testing requirements will be based on the Executive Officer's review of the submitted application.

LABELING REQUIREMENTS *

* Explanation of labeling requirements can be found here ([Insert hyperlink](#)).

(Submit facsimile of an exemption label)

(Submit material and lettering specifications for label)

REQUEST FOR SAMPLE

CARB may require one or more devices for evaluation. Device(s) will be returned only if a return is requested at the time the device(s) is submitted. Do you agree to provide the device(s) free of costs? Yes No

SIGNATURE

I affirm the information provided is true, accurate, and complete, and that to the best of my knowledge installation of the device on a covered vehicle or engine will not cause regulated emissions to increase beyond the certification standards to which the vehicle or engine was certified, including any noxious or toxic matter, and will not cause emission control components and OBD to function differently than as designed by the vehicle or engine manufacturer.

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