

Innovative Concept Application Checklist

All Innovative Concept applications must include information specified in Section 93130.17 of the regulation. An applicant can use the following checklist to help ensure their application is complete.

- (b)(1)(A) Is contact information provided (Company name, address, and contact information)?
- (b)(1)(B) – Did applicant provide a description of proposal including an overview of the source and scope of emission reductions, and a project site plan and location map?
- (b)(1)(C) – Did the applicant provide estimate of the vessel emissions planned to be covered under the innovative concept for each pollutant NO_x, PM 2.5, and ROG by multiplying the emission factor for a pollutant found in section 93130.5(d) of this Control Measure by the expected number of vessel visits, average visit duration, and expected power used during an average visit?
- (b)(1)(D) – Did the applicant provide the proposed recordkeeping, reporting, monitoring, and testing procedures that the applicant plans to use to demonstrate reductions?
- (b)(1)(E) – Did the applicant provide a Memorandum of Understanding or similar agreement between the applicant, any funding partners (if more than one entity is providing funding), owners and operators of controlled equipment for the innovative concept that shows agreement regarding the innovative concept's scope and requirements for using the innovative concept in compliance with this Control Measure?
 - (b)(1)(E) Continued - If Yes, was the Memorandum of Understanding or similar agreement approved by the Executive Officer and in place prior to the start date of the innovative concept compliance period?
- (b)(1)(F) – Did the applicant provide the proposed length of time during which the innovative concept would be used (up to five years, as specified in subsection 93130.17(a)(8) of this Control Measure), as well as the number and duration of any anticipated time extension requests as set forth in that same subsection?
- (b)(1)(G) – Did the applicant provide a discussion of governmental approvals necessary to enable development of the innovative concept?
- (b)(1)(H) – Did the applicant provide a discussion regarding any environmental review requirements that may apply to the proposed innovative concept, including identification of which agency would serve as the lead agency for environmental review purposes
- (b)(1)(I) – Did the applicant provide any information necessary to demonstrate that the proposed innovative concept meets all eligibility and applicability requirements in subsection 93130.17(a).?
 - (a)(1) – Did the applicant submit their application by December 1, 2021?
 - (a)(2) – Did the applicant demonstrate that the proposed innovative concept reduces NO_x, PM 2.5, and ROG emissions equivalent to or greater than the level that would have been achieved by the Control Measure, while not increasing GHG?

- (a)(3) – Did the applicant demonstrate that the concept in the application achieves emissions reductions of NO_x, PM 2.5, and ROG that are in excess of other requirements?
- (a)(4) – Did the applicant demonstrate that concept in the application achieves emissions reductions within three nautical miles of the port or marine terminal or in and around the port or marine terminal?
- (a)(5) – Did the applicant demonstrate that the concept does not increase emissions at other ports or marine terminals?
- (a)(6a) – Did the applicant demonstrate that the reductions are in excess of business as usual?
- (a)(6b) – Did the applicant demonstrate that the reductions are real, quantifiable, verifiable, and enforceable?
- (a)(7) – Did the applicant provide the information on the first compliance period of up to 5 years?
- (a)(8) – Is the innovative concept eligible for another compliance period?
- (a)(9) – Did the applicant demonstrate that visits made under the innovative concept are not eligible for VIEs, TIEs, or the remediation fund?
- (a)(10) – Did the applicant demonstrate that reductions made during a compliance period will be used in the calendar year in which they are achieved or the following calendar year?
- (a)(11) – Did the applicant include the possibility for early reductions under the innovative concept?
- (a)(12) – Did the applicant demonstrate that the innovative concept is not funded with a public incentive program?
- (a)(13) – Did the applicant include a records, retention, and reporting policy?
- (a)(14) – Did the applicant include a statement of understanding that vessel operators and terminal operators intending to use the innovative concept shall comply with the provisions of this section, including the emission limits in sections 93130.7 and 93130.9 of this Control Measure prior to such approval.
- (a)(15) – Did the applicant include a timeline showing how the innovative concept will be implementable within the timeframe needed to be used for compliance with this Control Measure, including any time needed for environmental review (if applicable).