

2012 ANNUAL ENFORCEMENT REPORT SUMMARY

The following statistics highlight ARB's Enforcement Program achievements in 2012

- 2,713 enforcement actions closed
- \$16,094,959 in penalties assessed¹
- \$525,618 in Supplemental Environmental Projects (SEPs) awarded from the settlement of enforcement cases
- 10,997 inspections completed in Environmental Justice community and Mexican Border areas and 1,142 citations issued

Case Settlement Highlights:

- Case settlement of \$2,550,000 with Caterpillar, Inc. (\$510,000 of which to be paid to ARB) for shipping more than 590,000 on-road and off-road diesel engines without after treatment emission control devices. In addition to civil penalties, Caterpillar recalled and corrected noncompliant engines, and retired 17.6 tons of NOx + non-methane hydrocarbon and 0.97 tons of PM emissions credits
- \$259,087 in funding generated from 97 settled diesel cases disbursed to support 65 California Council on Diesel Education and Technology (CCDET) classes and general diesel technology programs

Investigation and Case Resolution Programs: 721 cases closed and \$14,136,322 in penalties assessed including:

- 73 Consumer Products and Aerosol Coating Programs cases closed and \$1,993,952 in penalties assessed
- 131 Diesel Equipment Programs cases closed and \$497,505 in penalties assessed
- 64 Specialized Diesel Fleet Programs cases closed and \$541,307 in penalties assessed
- 365 Statewide Diesel Fleet Programs cases closed and \$1,639,873 in penalties assessed
- 36 Engine and Part Programs cases closed and \$882,945 in penalties assessed
- 51 Vehicle Programs cases closed and \$8,580,740 in penalties assessed

Field Operations Programs: 57,633 inspections completed and \$1,959,437 in penalties assessed including:

- Cargo Tank Program: 669 inspections, 31 enforcement actions taken, and \$14,500 in penalties assessed
- Fuels Programs: 12,628 inspections, 21 enforcement actions taken, and \$568,550 in penalties assessed
- Railroad MOU Programs: 2,534 inspections, 18 enforcement actions taken, \$3,600 in penalties assessed
- Marine Programs: 4,763 inspections completed, 48 enforcement actions taken, and \$306,875 in penalties assessed
- Heavy Duty Diesel Field Inspection Programs: 37,039 inspections completed, 1,800 enforcement actions taken, and \$1,065,912 in penalties assessed

Program Highlights:

- 1,996 motor vehicle fuels samples collected and approximately 15,700 fuels analyses conducted
- Over 2.6 billion gallons of gasoline and 713 million gallons of diesel fuel represented in sampling
- 140 Periodic Smoke Inspection Program cases closed and \$1,605,073 in penalties assessed
- 11 Off-Highway Recreation Vehicle cases closed and \$7,781,240 in penalties assessed
- 2,802 Truck and Bus Program inspections completed and 432 citations issued
- 2,860 Drayage Truck inspections completed and 276 citations issued
- 4,150 Transport Refrigeration Unit inspections completed and 1,289 citations issued
- Air Qualify Training Program Course #102 launched in October and by the end of 2012, over 450 students from locations all over the world had enrolled in the training
- 134 air quality training classes offered to over 3,000 public agency and private industry students
- 54 Visible Emission Evaluation certification/recertification sessions offered to 2,287 public and private industry participants

The 2012 Enforcement Report is available at: http://www.arb.ca.gov/enf/reports/2012_enf_rpt.pdf.
You may also view ARB's Enforcement Programs website at: <http://www.arb.ca.gov/enf/enf.htm>.

¹ Penalties collected may include violations from previous years that closed in 2012.